



## Shadow Agency:

*How the United Nations Foundation and Evers Administration Are Colluding to Evade the Legislative Process and Force a Climate Agenda on Wisconsin*

### Summary

- **Unable to secure legislative approval of his climate change and “environmental justice” initiatives, Governor Evers has acquired hundreds of thousands of dollars in third-party funding from the United Nations Foundation, the multi-billion-dollar UN partner chaired by media tycoon Ted Turner, according to documents obtained by the Center for Investigative Oversight**
- **Evers is using UNF funds to staff the Office of Sustainability & Clean Energy, a governmental entity he created solely by executive order, which in turn has worked to implement far-left climate priorities such as a “carbon-free” Wisconsin by 2050**
- **Wisconsin’s public deserves to know whether the Evers administration is complying with the law, whether it misled the Joint Committee on Finance, to what extent there is private control of Wisconsin governmental operations, and what safeguards, if any, exist around the exercise of power by OSCE**

### Governor Tony Evers Has Failed to Obtain Legislative Approval of his Climate Change Initiatives

From the beginning of his governorship, Tony Evers has sought to impose a drastic environmental agenda on Wisconsin. Describing climate change as a “grave threat to the health, safety, and economic well-being of people and communities throughout Wisconsin” and accusing Wisconsinites of “ignoring science,”<sup>1</sup> Governor Evers has committed to making the state “carbon-free” by 2050.<sup>2</sup>

Governor Evers, however, does not set policy for the State of Wisconsin. “[O]ur constitutional structure does not contemplate unilateral rule by executive decree. It consists of policy choices enacted into law by

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<sup>1</sup> Office of the Governor, *Gov. Evers Signs Executive Order #52 Relating to Climate Change in Wisconsin* (Oct. 17, 2019), <https://content.govdelivery.com/accounts/WIGOV/bulletins/26676ca>.

<sup>2</sup> Office of the Governor, *Executive Order #38 Relating to Clean Energy in Wisconsin* (Aug. 16, 2019), <https://evers.wi.gov/Documents/EO%20038%20Clean%20Energy.pdf>.

the legislature and carried out by the executive branch.”<sup>3</sup> This distinction is critical in this context because the Legislature has explicitly declined to sign on to Governor Evers’ sweeping climate objectives. It has, in particular, repeatedly denied Evers’ requests for millions of dollars to staff and otherwise fund a proposed Office of Environmental Justice (OEJ) and Office of Sustainability and Clean Energy (OSCE), executive branch entities that would be tasked with implementing environmental policies.<sup>4</sup>

Because the Legislature, not the Governor, controls Wisconsin’s purse strings,<sup>5</sup> this disagreement might have been the end of the matter. But Governor Evers has found a way to circumvent the normal legislative process and pursue his personal policy priorities anyway.

### **The United Nations Foundation Is Bankrolling the Evers’ Administration’s Unilateral Actions on Climate Change**

Governor Evers has followed a two-part strategy to move forward with his environmental agenda without legislative approval.

First, he has used executive orders to accomplish via unilateral decree what he could not obtain statutorily. So, for example, in Executive Order #38 he ordered the Department of Administration (DOA) to itself create the OSCE. He then ordered the OSCE to, among other things:

- “In partnership with out state agencies and state utilities, achieve a goal of ensuring all electricity consumed within the State of Wisconsin is 100 percent carbon-free by 2050”;
- “Ensure the State of Wisconsin is fulfilling the carbon reduction goals of the 2015 Paris Climate Accord”; and
- “Develop a clean energy plan to assist the State of Wisconsin in adapting to and mitigating the harm from climate change by using clean energy resources and technology.”<sup>6</sup>

Without financial resources, however, the Governor’s orders would not be worth much, and as noted the Legislature has not provided the requested money. This leads to the second part of Governor Evers’ strategy—private funding.

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<sup>3</sup> *Fabick v. Evers*, 2021 WI 28, ¶14, 396 Wis. 2d 231, 956 N.W.2d 856 (emphasis added).

<sup>4</sup> See, e.g., Legislative Fiscal Bureau, *Comparative Summary of Provisions, 2019 Act 9*, at 56-57 (August 2019), [https://docs.legis.wisconsin.gov/misc/lfb/budget/2019\\_21\\_biennial\\_budget/202\\_comparative\\_summary\\_of\\_provisions\\_2019\\_act\\_9\\_august\\_2019\\_entire\\_document](https://docs.legis.wisconsin.gov/misc/lfb/budget/2019_21_biennial_budget/202_comparative_summary_of_provisions_2019_act_9_august_2019_entire_document); Legislative Fiscal Bureau, *Comparative Summary of Provisions, 2021 Act 58*, at 60-61 (August 2021), [https://docs.legis.wisconsin.gov/misc/lfb/budget/2021\\_23\\_biennial\\_budget/202\\_comparative\\_summary\\_of\\_provisions\\_2021\\_act\\_58\\_august\\_2021\\_entire\\_document](https://docs.legis.wisconsin.gov/misc/lfb/budget/2021_23_biennial_budget/202_comparative_summary_of_provisions_2021_act_58_august_2021_entire_document); Sen. Marklein & Rep. Born, Omnibus Budget Motion at 1, Joint Finance Committee (2023), [https://docs.legis.wisconsin.gov/misc/lfb/jfcmotions/2023/2023\\_05\\_02/000\\_budget\\_motions/motion\\_10\\_omnibus\\_budget\\_motion](https://docs.legis.wisconsin.gov/misc/lfb/jfcmotions/2023/2023_05_02/000_budget_motions/motion_10_omnibus_budget_motion).

<sup>5</sup> See, e.g., Wis. Const. art. VIII, § 2 (“No money shall be paid out of the treasury except in pursuance of an appropriation by law.”).

<sup>6</sup> *Executive Order #38*, *supra*.

On March 30, Co-Chair of the Joint Committee on Finance Representative Mark Born (R – Beaver Dam) pointed out in a budget meeting that OSCE listed 4 employees on its website, despite only one DOA employee having been allocated to the office. The following discussion occurred:

Born: . . . [H]ow many employees work in [OSCE and OEJ]?

DOA: There is one position allocated to [OSCE]—one permanent position. And currently one position allocated to [OEJ] remaining unfilled at this point.

Born: So there's only one in each office.

DOA: At this point in time, yes.

Born: Well then the website tells me that [OSCE] lists four names in that office.

DOA: Yes, so I think that there's a variety of grants that the [OSCE] has received, so we're funding . . . there's one position that DOA has allocated to the office but then they have a variety of other funding that they have received through third-party sources that are funding those other positions that you've identified on the website.

Born: So these are federally-funded LTEs or something?

DOA: Limited-term employees.<sup>7</sup>

The DOA's "answer" was at best highly misleading and at worst false. IRG's Center for Investigative Oversight obtained, via Wisconsin's Public Records Law,<sup>8</sup> records of the "variety of grants" DOA received from "third-party sources." DOA disclosed only that the OSCE positions were funded by the private United Nations Foundation (UNF) in partnership with its affiliated project, the U.S. Climate Alliance (USCA). It did not provide records of any supposed federal grants.

Included in the Appendix of this report are Grant Numbers UNF-20-1130 and UNF-21-1262, so-called "Climate Leadership Grants," which together show \$386,132 in total funding from the UNF to the DOA over approximately 5 years, through April 30, 2025.<sup>9</sup> These records are partially corroborated by the UNF's own IRS Form 990s from 2020 and 2021 (the most recent years available), excerpts of which are also included in the Appendix.<sup>10</sup>

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<sup>7</sup> Joint Committee on Finance, *Agency Briefings: Department of Administration*, at 2:03:13—2:04:28, Wisconsin Eye (March 2023), <https://wiseye.org/2023/03/30/joint-committee-on-finance-149/>.

<sup>8</sup> Wis. Stat. §§ 19.31-.39.

<sup>9</sup> Appendix 1-42.

<sup>10</sup> *Id.* at 58-61.

The UNF, a charity started by media tycoon Ted Turner in 1998 that has received over \$2 billion since its founding,<sup>11</sup> bills itself as a “strategic partner to help the UN mobilize the ideas, people, and resources it needs to deliver, and grow a diverse and durable constituency for collective action.”<sup>12</sup> Active in areas like global health and gender equality,<sup>13</sup> the UNF has also worked in tandem with the USCA, “a bipartisan coalition of governors committed to reducing greenhouse gas emissions consistent with the goals of the Paris Agreement.”<sup>14</sup> Governor Tony Evers joined the USCA in 2019.<sup>15</sup>

The first grant to DOA, which was signed on June 1, 2020 but later extended twice in January of 2021 and May of 2023 to cover a period through April of 2025, was originally intended to allow DOA to hire a “senior-level” “climate fellow” who would, among other things,

- “assist with the development of a State Energy Plan” and “a Wisconsin Climate Action plan” and “support the work of Governor Evers’ Task Force on Climate Change”;
- “help to move the recommendations of the Task Force into policy and action”; and
- “engage with [the OEJ] to ensure alignment and collaboration.”

The funds for this grant total \$298,000, allocated largely toward salary. The DOA’s grant renewal application reflects that 3 staff members were ultimately assigned to the grant.<sup>16</sup>

The second grant—in the amount of \$88,132, signed in June of 2021, and intended to cover a period through September of 2023—funds a “climate/clean energy staff person” who is a “senior-level program and policy analyst.” This person is intended to “assist OSCE with their *State as a Leader* Initiative,” “serv[ing] as the project lead to support the implementation [of] an internal tracking system that will help the state to track state agency data attributable to greenhouse gas (GHG) reduction goals and provid[e] transparency on agency progress.”<sup>17</sup>

DOA is quite frank in its applications to the UNF that it is applying for funds despite (and because of) the refusal of the Legislature to endorse Governor Evers’ climate agenda. For example, in one of its renewal applications, DOA wrote the following:

In his 2019-2021 and 2021-2023 budgets, Governor Evers appropriated funding and position authority to create and staff the OSCE. However, funding and position authority for this office and its work were struck down by the legislature. . . . In his 2023-2025

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<sup>11</sup> United Nations Foundation, *Our Financials*, <https://unfoundation.org/who-we-are/our-financials/>.

<sup>12</sup> United Nations Foundation, *Our Mission and History*, <https://unfoundation.org/who-we-are/our-mission/>.

<sup>13</sup> United Nations Foundation, *Issues*, <https://unfoundation.org/what-we-do/issues/>.

<sup>14</sup> *Alliance Principles*, United States Climate Alliance, <https://www.usclimatealliance.org/alliance-principles>.

<sup>15</sup> *Wisconsin Governor Tony Evers Joins U.S. Climate Alliance*, United States Climate Alliance (Feb. 12, 2019), <https://www.usclimatealliance.org/publications/2019/2/12/wisconsin-governor-tony-evers-joins-us-climate-alliance>.

<sup>16</sup> See Appendix 1-27.

<sup>17</sup> *Id.* at 28-42.

budget, Governor Evers again appropriated funding to create the OSCE, as well as support for two grant programs and additional staff. This proposal has yet to be considered by the state legislature.<sup>18</sup>

### **Governor Evers' Dependence on a Private Charity for Resources Raises Serious Governance Questions**

Whatever the merits of Governor Evers' underlying environmental goals, his decision to sidestep the legislative process and instead rely on the UNF and unilateral action raises several fundamental questions about the legality and propriety of his administration's activities. For example:

#### **1. Did DOA staff intentionally mislead the Joint Committee on Finance?**

The Joint Committee on Finance is tasked with considering Governor Evers' budget recommendations, including his request for billions of dollars in funding for the Department of Administration,<sup>19</sup> and to that end must question agency heads about their finances. As noted above, JFC was not, apparently, aware of the third-party funding of the OSCE and asked DOA a direct question about whether the funding came from the federal government. The DOA indicated the funding was federal rather than clarifying that in fact the UNF was sponsoring the grants. This is not a minor detail because private organizations like the UNF are not accountable to voters even indirectly. Was the DOA's lack of candor intentional?<sup>20</sup>

#### **2. Are the DOA's actions legal?**

The State of Wisconsin is a sovereign entity operating on power granted to it by the people of the State. In order to ensure this power is properly exercised, the people adopted a state constitution that sets forth numerous safeguards on the exercise of power in order to keep government actors accountable. Where these safeguards are evaded, liberty is endangered.

In particular, as noted above, the Legislature possesses the authority to set policy for the state of Wisconsin.<sup>21</sup> Administrative agencies like the DOA, in contrast, "are creations of the legislature and . . . can exercise only those powers granted by the legislature."<sup>22</sup> Although the Legislature may delegate a measure of legislative power to administrative agencies, the "purpose of the delegating statute" must be "ascertainable" and there must be "procedural safeguards to insure that the board or agency acts within that legislative purpose" and does not exercise its discretion "unnecessarily or indiscriminately."<sup>23</sup> One important safeguard in particular is Wis. Stat. § 227.10(2m), the so-called "explicit authority

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<sup>18</sup> *Id.* at 21.

<sup>19</sup> See Tony Evers, *State of Wisconsin Executive Budget* at 4-5 (Feb. 2023), <https://doa.wi.gov/budget/SBO/2023-25%20Executive%20Budget%20Complete%20Document%20ASE.pdf>.

<sup>20</sup> One grant document references an intent to obtain federal funding in the future, Appendix 24, but as noted no records of current federal grants were provided by the DOA in response to the CIO's public records request.

<sup>21</sup> See *Fabick*, 396 Wis. 2d 231, ¶14; see also, e.g., *Koschke v. Taylor*, 2019 WI 76, ¶11, 387 Wis. 2d 552, 929 N.W.2d 600 (2019).

<sup>22</sup> *Martinez v. Department of Industry, Labor, and Human Relations*, 165 Wis. 2d 687, 697, 478 N.W.2d 582 (1992).

<sup>23</sup> *Panzer v. Doyle*, 2004 WI 52, ¶155, 271 Wis. 2d 295, 680 N.W.2d 666 (quoting *Gilbert v. Medical Examining Board*, 119 Wis. 2d 168, 186, 349 N.W.2d 68 (1984)).

requirement,”<sup>24</sup> which provides that “[n]o agency may implement or enforce any standard, requirement, or threshold . . . unless that standard, requirement, or threshold is explicitly required or explicitly permitted by statute or by a rule that has been promulgated in accordance with this subchapter . . . .” These requirements ensure that unaccountable, unelected agency bureaucrats are not given the power to both make and enforce laws, an accumulation of power that threatens liberty.<sup>25</sup>

In its grant applications, DOA affirms that its acceptance and use of grant funds is consistent with state law.<sup>26</sup> But it is unclear, to date, whether DOA possesses statutory or rule-based authority for its and the OSCE’s climate-related activities.

DOA cited Wis. Stat. § 14.06 in its initial grant application, which authorizes the governor to “accept gifts, grants and bequests, and [to] expend the proceeds to carry out the purposes for which received,” but in a later application relies on Wis. Stat. § 20.505(1)(j), which is a general appropriation by the Legislature of “[a]ll moneys not otherwise appropriated under this section received from gifts, grants, and bequests made to the [DOA], any division, or other body attached to or in the [DOA] and to any special or executive committee, to carry out the purposes for which made and received.”<sup>27</sup>

Obviously, premising the many activities of OSCE on statutes so vague and lacking in detail produces significant problems. There is no substantive legislative guidance and thus no way to ensure that DOA and OSCE are acting within an appropriate legislative purpose. Instead, because the agency has bypassed the normal lawmaking process and is working according to the terms of private grants, neither the Legislature nor the people of the State are apprised of what the Executive Branch is actually doing. This lack of transparency and accountability means that DOA can act in a wasteful or abusive manner with a large degree of impunity.

### **3. How much control do the UNF and USCA have over the activities of the Evers administration?**

Also unclear is the question of how much control the UNF and USCA possess over the DOA, the OSCE, the Evers Administration’s environmental policies, and by implication the people of Wisconsin.

The terms of the grants to DOA discussed above reserve to the UNF several rights. One or more of the grants require DOA to:

- allow the UNF to “monitor and conduct formal evaluations of operations under” the grant, “which may include a visit from UNF personnel or other representatives, including representatives from The U.S. Climate Alliance, to observe [the DOA’s] projects . . . and programs and to review and/or audit financial and other records and materials connected with activities supported by [the] grant”;

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<sup>24</sup> *Wisconsin Legislature v. Palm*, 2020 WI 42, ¶152, 391 Wis. 2d 497, 942 N.W.2d 900.

<sup>25</sup> See *The Federalist* No. 47.

<sup>26</sup> Appendix 3-4, 30-31.

<sup>27</sup> *Id.* at 10, 26, 38.

- allow the UNF to, “in advance, review, comment on, and otherwise approve any and all press releases, statements, announcements, or other public communications by the [DOA] describing the grant and the related work in connection with the Project, including any announcement regarding receipt of [the] grant,” with approval given in UNF’s “sole discretion”;
- similarly, allow the UNF, “prior to submission for publication, to review and comment upon any documents, manuscripts, papers, exhibits or other materials that reference” the grant;
- provide the UNF with “Interim and Final narrative and financial reporting”;
- “cooperate with UNF in supplying any information or complying with any procedures that might be required by any governmental agency in order for UNF to establish the fact that it has observed all requirements of the law with respect to this grant”;
- Refrain from “engag[ing] in any personal, business or professional activity that conflicts or could conflict with any [of the DOA’s] obligations in relation to this Project, or that creates an actual or apparent conflict of interest”; and
- release the UNF from liability (as defined).<sup>28</sup>

In addition, UNF is permitted to discontinue payments and terminate the agreement entirely if it is not “reasonably satisfied with the [DOA’s] progress.”

Obviously, UNF retains significant control not only over the execution of the grants but even how the DOA communicates about the grants. In fact, when DOA was questioned before the Joint Committee on Finance regarding the grants, it arguably would have violated the grant agreement for DOA to make a “public communication[] . . . describing the grant” without prior UNF approval. A private organization, not accountable to the people of Wisconsin—or even to the Governor—should not have this level of power over government operations and state policy. This is to say nothing about the unfairness of the preferential treatment UNF receives as compared to other private citizens with respect to access to government personnel and files.

And these are just the contract terms. What conversations have DOA and UNF had regarding these grants? Has UNF enforced any of the grant terms or threatened to do so? Has the Evers administration modified its behavior to keep UNF and USCA donors happy? Again, because of the way the Evers administration has chosen to operate, the people of Wisconsin are left completely in the dark.

**The Legislature Should Ask the DOA Secretary to Provide Full Transparency Regarding the DOA’s Climate Activities**

The next steps are clear: legislative oversight of DOA’s climate-related activities must occur. The Legislature should obtain all documentation of communications between the DOA and the Office of the

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<sup>28</sup> See generally Appendix 1-5, 28-32.

Governor on the one hand and the UNF and USCA on the other, as well as any records relating to the application for and execution of grants from these organizations. The DOA Secretary and employees of the OSCE should then be questioned about their activities in a hearing conducted by the Legislature. And the Legislature should publicly debate whether more stringent controls over third-party funding of agency activities in circumstances such as these are appropriate, and if they are, introduce legislation to fix the problem. Wisconsinites deserve this transparency. Permitting the continued operation of an administrative agency answerable not to the Legislature but to private organizations located outside of Wisconsin is untenable.

*For more information, contact Anthony LoCoco, Chief Legal Counsel and Director of Oversight at IRG, at [al@reforminggovernment.org](mailto:al@reforminggovernment.org)*



# APPENDIX

**UNITED NATIONS FOUNDATION, INC. GRANT AGREEMENT**

Grantee: Wisconsin Department of Administration  
Grant Name: [Wisconsin Climate Leadership Grant]  
Grant Number: [UNF-20-1130]  
Grant Amount: [\$160,000.00]  
Date: [May 11, 2020]

1. This grant to Wisconsin Department of Administration (the “Grantee”) will support the specific purposes set forth in the written proposal, attached hereto as Exhibit A (the “Project”).

Payments received by the Grantee from the U.S. Climate Alliance, by and through the United Nations Foundation, Inc. (“UNF”), may be expended only for the purposes specified in the approved scope of work for the Project, subject to all conditions provided in this Agreement. No other uses of grant funds are authorized without the prior express written consent of UNF.

Consistent with the foregoing, all payments received by Grantee may be expended only for charitable, scientific, literary, or educational purposes within the meanings of Section 501(c)(3) and 170(c)(1) of the Internal Revenue Code of 1986, as amended (the “Code”).

2. This Grant Agreement (the “Agreement”) shall be effective [April 1, 2020] and will be completed no later than [April 1, 2022].
3. Grantee agrees that it may not use any portion of the funds to participate in or intervene in any political campaign on behalf of (or in opposition to) any candidate for public office. If the Project involves any lobbying activities, the Grantee represents that:
  - i) this grant is not earmarked for any lobbying activities, and
  - ii) the amount of this grant, together with any other grants from UNF for the same Project for the same year, does not exceed the amount budgeted (if any) for the year by the Grantee for non-lobbying activities. If this grant covers multiple years, the preceding sentence applies to each year of the grant with the amount of the grant measured by the amount to be disbursed by UNF in each such year.
4. The Grantee shall provide liability protection for its officers, employees and agents while acting within the scope of their employment. The Grantee further agrees to indemnify and hold harmless UNF, its directors, officers, agents and employees for any and all liability, including claims, demands, losses, costs, or damages to persons or property arising out of, or in connection with, or occurring in connection with, this Agreement where such liability is founded upon or grows out of acts or omissions of any of the Grantee’s officers, employees or agents while acting within the scope of

their employment, where protection is afforded by §§893.82 and 895.46(1), of the Wisconsin Statutes.

5. The Grantee shall cooperate with UNF in supplying any information or complying with any procedures that might be required by any governmental agency in order for UNF to establish the fact that it has observed all requirements of the law with respect to this grant.
6. The Grantee shall maintain insurance coverage sufficient to cover the activities and risks of the Project, and Grantee's acts and omissions in connection with performance of the Project, in accordance with generally-accepted industry standards and as required by law.
7. UNF may monitor and conduct formal evaluations of operations under this grant, which may include a visit from UNF personnel or other representatives, including representatives from The U.S. Climate Alliance, to observe Grantee's projects (including the Project) and programs and to review and/or audit financial and other records and materials connected with activities supported by this grant. Grantee agrees to timely cooperate and assist UNF with such monitoring and evaluation activities.
8. The Grantee agrees to keep accurate and complete books and records of receipts and expenditures using grant funds during the term of this Agreement and for at least four (4) years thereafter, or such longer time period as required by applicable law, and will make these books and records available to UNF and its representative for inspection as reasonably required.
9. Subject to the terms of this Section, each Party may publicly announce (both orally, on its website(s) and in printed/digital material(s)), its relationship with the other Party and/or the grant; and may distribute press information to media contacts. For the avoidance of any doubt, the aforementioned rights include the right for a Party to use the other Party's name and/or logo in any such announcement in accordance with any provided branding guidelines and applicable consents for use including review and approval by the other Party prior to release or use. In order to ensure that there is no misunderstanding by the general public regarding UNF's involvement with the Project, for any other communications, UNF reserves the right to, in advance, review, comment on, and otherwise approve any and all press releases, statements, announcements, or other public communications by the Grantee describing the grant and the related work in connection with the Project, including any announcement regarding receipt of this grant. UNF's approval of any such communications or materials shall be given in its sole discretion. Grantee may, however, make reference to The U.S. Climate Alliance's support of Grantee's work generally as Grantee executes the Project.
10. UNF reserves the right, prior to submission for publication, to review and comment upon any documents, manuscripts, papers, exhibits or other materials that reference this grant. Grantee agrees to duly consider comments, suggestions or issues that Grantor identifies as noteworthy or of significance for any such publications.

11. Interim and Final narrative and financial reporting is required in accordance with the following schedule:

Date Due	Report Type	Period Covered
January 1, 2021	Interim	April 1 – December 1, 2020
June 1, 2022	Final	April 1, 2020 – April 1, 2022

Please refer to the “Reporting Requirements” attached hereto as Exhibit B for reporting guidelines.

In addition to the reports set forth in the Reporting Requirements, Grantee is encouraged to submit intermittent updates of grant activities, providing a sense of what the organization is accomplishing with UNF’s grant.

12. Subject to the Grantee’s compliance with the terms of this Agreement, funding for this grant will be paid according to the following schedule:

Payment Date	Payment Amount	Period Covered
Upon Execution of the Grant Agreement	\$80,000	April 1 – December 1, 2020
Upon satisfactory progress as reported in the Interim Report	\$80,000	December 2, 2020 – April 1, 2022

It is anticipated that the grant will be paid in accordance with the disbursement schedule noted in this section. However, in the case of a multi-year grant or other grant payable in installments, the payment of each installment shall be additionally subject to a determination by UNF, in its sole and absolute discretion, that i) Grantee has provided all required reports and any other deliverables specified in this Agreement or otherwise requested by UNF in a timely manner; ii) payments received from UNF have been used solely for the purposes specified in this Agreement; iii) additional payments shall be used solely for the purposes specified in this Agreement; iv) Grantee has otherwise strictly complied with the terms and conditions of this Agreement; v) there have been no material changes in the Grantee’s operations, staffing or funding that would adversely affect the objectives for which the grant was authorized; and vi) the context in which the Project is to be executed has not been changed in such a manner that the objectives for which the funds were authorized are not likely to be adequately realized.

13. Grantee represents, warrants, and covenants: (i) acceptance of the grant funds and subsequent use of the same in conjunction with the Project will not violate any applicable state or federal law, rule, or regulation; (ii) if applicable, a state agency’s acceptance of grant funds from a private organization is statutorily authorized; and, (iii) all representations, warranties, and covenants contained in this Agreement shall

continue to be true throughout the term of this Agreement, and Grantee shall immediately notify UNF in writing if any representation, warranty, or covenant is no longer true.

14. The Grantee agrees that UNF's grant funds will be used in compliance with all applicable anti-terrorist financing and asset control laws, regulations, rules and executive orders, including, but not limited to, the USA Patriot Act of 2001, as amended, and the laws and regulations implemented by the U.S. Department of the Treasury Office of Foreign Assets Control ("OFAC"). In this regard, the Grantee agrees to take all necessary steps to ensure that no person or entity expected to receive funds in connection with this grant: a) is named on any list of suspected terrorists or blocked individuals maintained by the U.S. government, including but not limited to the Annex to Executive Order No. 13224 (2001) (Executive Order Blocking Property and Prohibiting Transactions with Persons Who Commit, Threaten to Commit, or Support Terrorism); b) is named on the List of Specially Designated Nationals ([www.treasury.gov/sdn](http://www.treasury.gov/sdn)), is named on any other OFAC Sanctions List, or is an entity owned or controlled by such persons; or c) in or with countries or territories against which the U.S. maintains comprehensive sanctions (such as, Cuba, Iran, Syria, North Korea and the Crimea Region of the Ukraine). The Grantee shall perform all necessary due diligence to assure that use of the grant funds is in compliance with all applicable laws.
15. The Grantee acknowledges that none of the activities financed by the grant violates U.S. laws that prohibit corrupt payments, gifts or other items of value (directly or indirectly) to anyone in order to improperly influence any act or decision relating to UNF or the Project or for the purpose of obtaining or retaining business and that the Grantee has not authorized any activity that would constitute such payments. The Grantee will take all steps necessary to assure that grant funds are not used to make corrupt payments in violation of this section.
16. UNF may modify, suspend, or discontinue any payment, and/or may terminate this Agreement if: a) UNF is not reasonably satisfied with the Grantee's progress on the Project; b) there are changes in the Grantee's leadership or other organizational factors that UNF reasonably believes may threaten the Project's success; c) funding for this Project is suspended or terminated by a UNF or U.S. Climate Alliance funder; d) there is a change in the Grantee's tax status; or e) the Grantee fails to comply with any of the terms of this Agreement. If this Agreement is terminated, no further distribution of grant funds shall be made to the Grantee, and Grantee will immediately return all unexpended funds to UNF.
17. UNF and the Grantee are independent contractors. Nothing in this Agreement is intended or shall be deemed to create an association, partnership, joint venture, agency or employer and employee relationship between the parties, or to authorize either party to act as agent for the other or to enter into contracts on behalf of the other.

- 18. Neither the Grantee nor any individual employed or contracted by the Grantee shall engage in any personal, business or professional activity that conflicts or could conflict with any of their respective obligations in relation to this Project, or that creates an actual or apparent conflict of interest.
- 19. The parties acknowledge this grant is a subgrant by UNF and funded wholly by supporters of the U.S. Climate Alliance.
- 20. This Agreement (including all attachments hereto, all of which are incorporated herein by reference) constitutes the entire agreement between UNF and the Grantee with respect to the subject matter hereof, and supersedes all prior agreements and understandings, whether oral or written, with respect to such subject matter. This Agreement may not be amended or modified except in a writing signed by UNF and the Grantee that specifically references this Agreement. All provisions of this Agreement that by their terms or nature should survive the expiration or termination of this Agreement shall so survive. Neither this Agreement nor any provision hereof shall be construed against either party on the grounds that this Agreement or such provision was drafted by said party. The provisions of this Agreement are severable, and the unenforceability of any provision of this Agreement shall not affect the enforceability of the remainder of this Agreement. This Agreement shall be deemed to be made under, and in all respects, interpreted under and governed by the laws of the State of Wisconsin without reference to its conflicts of laws rules or principles. This Agreement may be executed in counterparts and in electronic (pdf or facsimile) form, each of which shall be deemed to be an original but all of which together shall constitute a single instrument.
- 21. The special stipulations contained in Exhibit C are hereby incorporated herein by reference.

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IN WITNESS WHEREOF, the Parties have caused this Agreement to be executed by their respective duly authorized representatives on the dates indicated below.

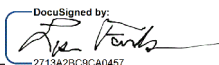
**Agreed & Accepted:**

For the United Nations Foundation, Inc.:

For the Wisconsin Department of Administration:

Date: 6/1/2020

Date: 5/28/2020





Lia Fordjour  
Chief Financial Officer  
United Nations Foundation

Chris Patton  
Deputy Secretary  
Wisconsin Department of Administration

Tax ID: 

**EXHIBIT A**

## Application Form

### Climate Leadership Grant Program

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Please complete all below fields to the best of the applicant's ability. Please upload your complete application to your state-specific folder. All applications are due on January 24, 2020 by 5pm ET.

#### 1. Application Information

Applying State Office	Wisconsin
Sponsoring USCA Alliance State Focal Point	Wenona Wolf
Name of Primary Contact	Kara Pennoyer
Title and Office of Primary Contact	Deputy Chief of Staff, Office of Governor Tony Evers
Primary Contact Email and Phone Number	<a href="mailto:Kara.pennoyer1@wisconsin.gov">Kara.pennoyer1@wisconsin.gov</a> 608

#### 2. Project Results

Please describe how you plan to use grant resources to achieve your climate goals. In your narrative, please provide measurable, time-bound results expected to be achieved during the grant period, and long-term outcomes this grant would support. Also explain the potential for achieving emission reductions and carbon sequestration at scale, or implementing substantial climate resilience programs.



Grant resources would be used toward hiring a climate fellow to serve under Governor Evers and Lieutenant Governor Barnes to assist with the development of a State Energy Plan, a Wisconsin Climate Action plan, and to support the work of Governor Evers' Task Force on Climate Change.

In his 2019-2021 budget, Governor Evers appropriated funding to create a new office in state government, the Office of Clean Energy and Sustainability (OCES), with the intentions that the office would oversee the state's work to become carbon-free by 2050. However, funding for this office and its work were struck down by the legislature. Since then, Governor Evers signed Executive Order #38 which created the office and sets a 2050 goal of being carbon-free. With no funding appropriated in the budget, the administration has limited capacity to do this work.

Per the executive order, over the next two years, the administration is charged with developing a clean energy plan to assist the state with adapting and mitigating the effects of climate change using clean energy technology and resources. Currently, Wisconsin is one of seven states in the country that does not have a state energy plan. In addition, the administration would like to develop a comprehensive climate action plan detailing the steps that Wisconsin can take to reduce its contributions to the climate crisis.

We anticipate it would take one to two years to develop both the state energy plan and the climate action plan. The process would include a robust engagement process with stakeholders and state agencies. Much of this work would be overseen by the OCES Director, but because of a lack of funding, she does not have a team to support this important work. In coordination with policy advisors in both the governor and lieutenant governor's office and the OCES Director, a climate fellow would provide the necessary support in developing these plans and assisting with work related to the Governor's Task Force on Climate Change. The fellow would be a senior-level advisor who has experience in developing climate related plans at either the municipal or state level.

<b>Projects</b>	<b>Timeline</b>	<b>Outcome</b>
State Energy Plan	2020 – 2021	A clean energy plan to assist the state with adapting and mitigating the effects of climate change using clean energy technology and resources.
State Climate Action Plan	2020 - 2022	A comprehensive climate action plan detailing the steps that Wisconsin can take to reduce its contributions to the climate crisis.
Task Force on Climate Change	November 2019 - August 2020	Building the public will in Wisconsin to support robust policies to combat climate change.  A report detailing an immediate strategy to mitigate and adapt the effects of climate change.

These three projects will build the public and political will needed to achieve the carbon emission goals set forth by Governor Evers and the administration. In addition, the projects will develop stakeholder supported plans to achieve these goals and create a pathway for Wisconsin to lead the nation in transitioning to a clean energy economy and combatting the climate crisis. It is our goal that these plans include policies and programs that would support carbon sequestration, renewable energy, and the infrastructure needed to increase the resilience and sustainability of communities of color and low-income communities along with the infrastructure needed to address the wide-spread flooding concern throughout Wisconsin. This includes providing local planners with the data, tools, and resources needed to understand and address vulnerability. Both plans, along with the work of the task force, is greatly and urgently needed in our state to protect and improve the health, safety, and well-being of residents and the planet.

### 3. Project Activities

Please describe the project activities, providing a theory of change for how this set of activities will help achieve the goals described above. If grant resources will support additional staffing, please also provide:

The climate fellow will provide support to the governor's office, lieutenant governor's office, and the Office of Sustainability and Clean Energy in developing plans that will help Wisconsin mitigate and adapt the effects of climate change. The work and support of the climate fellow will allow Wisconsin to achieve its long-term goals of carbon reduction and ultimately make Wisconsin safer, healthier, and more productive for all communities. Developing and implementing plans, engaging stakeholders, government agencies, and the legislature, and defining benchmarks and criteria for evaluating policy will put Wisconsin on a path to being carbon free by 2050 and possibly sooner—minimizing Wisconsin's contribution to the climate crisis.

The climate fellow will work under the direction of the lieutenant governor's office, which is the office charged with leading the work on climate issues in the administration. Currently, the lieutenant governor, with support from his staff and policy advisors in the governor's office, is overseeing the work of the new Office of Sustainability and Clean Energy and the Task Force on Climate Change. Funding for the fellow will be directly distributed to the governor's office, which will then provide compensation, benefits, an office, and resources to the fellow. The climate fellow will report to the lieutenant governor's chief of staff. The fellow will work on a team with the lieutenant governor's chief of staff, policy director, a policy advisor from the governor's office, the governor's deputy chiefs of staff, and the OSCE director.

### 4. Scaling-up Results

U.S. Climate Alliance members benefit by learning from each other, and given the scale of their shared market, adoption of substantially similar policies across states can have a transformational impact across the country. How would the results and learning from this be scaled up? As part of your narrative, please describe:

Wisconsin is eager and dedicated to increasing its engagement with and within the Alliance. After a busy first year, the administration has put in place the leadership needed to engage further and play a bigger role in the work of the U. S. Climate Alliance—not only to advance the work in Wisconsin, but to advance the collective work of the Alliance. This engagement includes sharing policy ideas, challenges, and success stories—especially among states with similar demographics and similar political climates. Further engagement on wind and solar, flooding mitigation, electric vehicle corridors, sustainable agriculture practices, carbon sequestration, environmental justice, and working with Indigenous populations are high priorities for Wisconsin. These are areas where we welcome collaboration, educating, learning, and replication.

Lieutenant Governor Barnes is serving as an excellent spokesperson for the work in Wisconsin and is eager to connect partners, states, and national organizations. He is also fit to tell the climate story of Wisconsin: a state rich of natural resources but also one that experiences alarming racial disparities and poverty among our farmers and rural citizens. Embracing a clean energy economy in a blue-collar, agriculturally abundant mid-west state is needed in order to grow our economy and ensure that all citizens and communities to thrive. Our work and leadership can provide a model for other states and communities.

## 5. Grant Administration

*Sub-Grant Mechanism:* Is the applying state/agency able to receive a sub-grant from a 501(c)(3) organization to support the proposed activities?

The Office of the Governor has the authority to accept grants and spend those proceeds to carry out the purpose for which they were received. Wis. Stat. § 14.06. The Governor’s Office of Legal Counsel and the relevant state agency human resources staff are prepared to work closely with the U.S. Climate Alliance to work through the logistics and details of creating, filling, and funding position.

There are three ways to structure the position within the parameters of state law. The preferred method would be to hire two half-time limited term employees (LTE). This would provide the Office of the Governor maximum latitude to recruit and hire candidates. The second method would be to partner with the University of Wisconsin System. With a memorandum of understanding, the fellow would be employed by the University but would work in the Capitol and function as part of the executive office staff. The third option would be to use a project position within one of the cabinet agencies. Whether a project position is available for a fellow would have to be determined closer to the time the position would be filled.

## 6. USCA Support

Please describe whatever support, including communications and any other capacities, that would be expected from the U.S. Climate Alliance to support the grant program.

Support in providing recommendations and best practices related to stakeholder engagement, policy trends, and communication would be welcome.

## 7. Budget

Salary	\$ 75,000
Benefits	\$
Travel	\$ 5000
Total	\$ 80,000

This is an estimated budget—it will vary based on how we structure the position(s). If we hire two limited term employees, we will not provide fringe benefits. Currently, this structure is most appealing because we have a former director of a county office of sustainability and clean energy interested in this fellowship and he would like to only work 50% time. If we could hire him, we would bring on an additional fellow at 50% as well. If we choose another structure—we will work within the amount we budgeted above to cover salary, travel, and benefits. Regardless of the structure the fellow (s) will be part of the Governor, Lieutenant Governor, and Office of Sustainability and Clean Energy’s climate team and will be physically housed within the governor’s office or at the Department of Administration with the Office of Sustainability and Clean Energy.

## 8. Representations

By submitting this application to the USCA Climate Leadership Grant Program, applicant agrees:

- a. disbursement of any funds is expressly contingent upon the prior execution of a formal grant agreement;
- b. no portion of this grant will be used for any lobbying activities, as defined by the Internal Revenue Code, and the amount of the requested grant does not exceed the amount budgeted (if any) for non-lobbying activities related to the Climate Leadership Grant Program; and,
- c. any grant resources that may be provided will not be used to replace a current or existing source of funding.

*Thank you for your application!*

## **EXHIBIT B**

### **REPORTING REQUIREMENTS**

UNF requires for our records an interim and final report on the schedule specified in Section 11 of the Agreement. Each report must: i) describe in narrative fashion what was achieved with the grant funds; and ii) provide a full financial accounting of the grant funds.

#### **I. Narrative Report**

Each narrative report must, in five (5) pages or less, summarize how the grant funds were used and the results that were achieved. The report will be used by UNF staff to evaluate and provide an overview of your work to our Board of Directors. Please address the following topics in your report:

- a. Please describe any notable accomplishments (updates on major meetings, achieved benchmarks, major gifts, etc.). For each accomplishment, please describe any activities that contributed substantially to these successes.
- b. Address all challenges or obstacles that were experienced with respect to performance of the project during the reporting period. Were these challenges outlined in the original grant proposal? Describe how these challenges were addressed and if it is possible to prevent them from reoccurring.
- c. Please describe the tangible results of the project. What did the project produce (e.g. print publications, scholarship, conference costs)? If your grant was for operating support, please include how the accomplishments were supported with operating funds (e.g.: staff salary, meeting expenses, supplies, etc.). We would like to know the productive activities that are produced by supporting your operational infrastructure.
- d. Please submit copies of significant reports, books or media productions that stem from your efforts in connection with this grant.

#### **II. Financial Report**

Each financial report must be as detailed as possible and include the following:

- a. A line item comparison of budgeted versus actual expenses as they relate *specifically to this grant*.
- b. A statement certifying that all grant funds were expended for the purposes of the grant. If the entire grant funds have not been expended, please explain the proposed usage of the unexpended amount.
- c. An explanation of any variance from the project budget submitted with your organization's proposal.

**EXHIBIT C**

**SPECIAL STIPULATIONS**

*Intentionally left blank*



**UNITED NATIONS FOUNDATION**  
**GRANT AGREEMENT – AMENDMENT NO. 1**

Grantee: Wisconsin Department of Administration  
 Grant Name: Wisconsin Climate Leadership Grant  
 Grant Number: UNF-20-1130  
 Grant Amount: \$160,000.00  
 Date: November 24, 2020

THIS AMENDMENT NO. 1 (the “Amendment”) is entered into between Wisconsin Department of Administration, 101 E Wilson St, Madison, WI 53703 (the “Grantee”) and the United Nations Foundation, Inc., 1750 Pennsylvania Avenue, N.W., Suite 300, Washington, D.C., 20006 (“UNF”), serves to modify the Grant Agreement entered into by the Parties on June 1, 2020 (the “Agreement”).

The following terms and conditions of the Agreement are hereby amended, effective as of the date of this Amendment:

**Grant Period.** The grant period shall be extended and shall continue through February 28, 2023. Section 2 shall be deleted in its entirety and replaced with the following:

2. This Agreement shall be effective August 1, 2020 and will be completed no later than February 28, 2023.

**Grant Reporting.** The Grant reporting shall be modified, and that Section 12 shall be deleted in its entirety and replaced with the following:

12. Interim and Final narrative and financial reporting is required in accordance with the following schedule:

Date Due	Report Type	Period Covered
June 1, 2021	Interim	August 1, 2020 – May 1, 2021
March 30, 2023	Final	August 1, 2020 – February 28, 2023

Please refer to the “Reporting Requirements” attached to the original Agreement as **Exhibit B** for reporting guidelines.

In addition to the reports set forth in the Reporting Requirements, Grantee is encouraged to submit intermittent updates of grant activities, providing a sense of what the organization is accomplishing with UNF’s grant.

**Funding Disbursement Schedule.** The funding disbursement schedule shall be modified, and that Section 13 shall be deleted in its entirety and replaced with the following:



13. Subject to the Grantee’s compliance with the terms of this Agreement, funding for this grant will be paid in two (2) disbursements according to the following schedule:

- \$80,000.00 upon execution of the original Agreement as payment for the period of August 1, 2020 through May 1, 2021; and
- \$80,000.00 upon satisfactory progress as reported in the Interim Report (due May 1, 2021) as payment for the period of May 2, 2021 through February 28, 2023.

All other conditions not hereby amended are to remain in full force and effect.

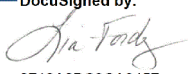
IN WITNESS WHEREOF, the Parties have caused this Agreement to be executed by their respective duly authorized representatives on the dates indicated below.

**Agreed & Accepted:**

For the United Nations Foundation, Inc.:

1/13/2021

Date: \_\_\_\_\_

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Lia Fordjour  
Chief Financial Officer

For the Wisconsin Department of Administration:

1/13/2021

Date: \_\_\_\_\_

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Name: Chris Patton  
Title: Deputy Secretary, Wisconsin Department of Administration  
Tax ID: [REDACTED]

**UNITED NATIONS FOUNDATION**  
**GRANT AGREEMENT – AMENDMENT NO. 2**

Grantee: Wisconsin Department of Administration  
Grant Name: Wisconsin Climate Leadership Grant  
Grant Number: UNF-20-1130 / G-17321-2  
Grant Amount: US \$298,000.00  
Date: March 30, 2023

THIS AMENDMENT NO. 2 (the “Amendment”) is entered into between Wisconsin Department of Administration, 101 E Wilson St, Madison, WI 53703 (the “Grantee”) and the United Nations Foundation, Inc., 1750 Pennsylvania Avenue, N.W., Suite 300, Washington, D.C., 20006 (“UNF”), and serves to modify the Grant Agreement entered into by the Parties on June 1, 2020, and subsequently amended on November 24, 2020 (collectively, the “Agreement”).

The following terms and conditions of the Agreement are hereby amended, effective as of the date of this Amendment:

**Exhibit A.** The proposal attached to the original Agreement as Exhibit A shall be deleted in its entirety and replaced with the revised proposal, attached hereto as **Exhibit A – April 2023**. **Exhibit A- April 2023** shall be effective as of April 1, 2023.

**Grant Period.** The grant period shall be extended and shall continue through April 30, 2025. Section 2 of the Agreement shall be deleted in its entirety and replaced with the following:

2. This Agreement shall be effective April 1, 2020 and will be completed no later than April 30, 2025.

**Grant Reporting.** The Grant reporting shall be modified, and that Section 11 of the Agreement shall be deleted in its entirety and replaced with the following reporting requirements:

11. Interim and Final narrative and financial reporting is required in accordance with the following schedule:

Date Due	Report Type	Period Covered
June 1, 2021	Interim #1	August 1, 2020 – May 1, 2021 ( <i>submitted</i> )
March 30, 2023	Interim #2	August 1, 2020 – February 28, 2023 ( <i>submitted</i> )
March 30, 2024	Interim #3	March 1, 2023 – February 28, 2024
May 31, 2025	Final	March 1, 2024 – April 30, 2025

Please refer to the “Reporting Requirements” attached to the Agreement as **Exhibit B** for reporting guidelines.

In addition to the reports set forth in the Reporting Requirements, Grantee must submit intermittent updates of grant activities, providing a sense of what the organization is accomplishing with UNF's grant.

**Grant Amount.** The total amount of the award shall be increased to US \$298,000.00 and that Section 12 of the Agreement shall be deleted in its entirety and replaced with the following:

12. Subject to the Grantee's compliance with the terms of this Agreement, funding for this grant shall be in the amount of US \$298,000.00 and will be paid in four (4) disbursements, according to the following schedule:

- US \$80,000.00 upon execution of original Agreement (*Paid*);
- US \$80,000.00 upon satisfactory progress as reported in the Interim report #1 (*Paid*);
- US \$69,000.00 upon execution of this Amendment; and
- US \$69,000.00 upon satisfactory progress as reported in the Interim report #3.

All other terms and conditions of the Agreement not hereby amended are to remain in full force and effect.

IN WITNESS WHEREOF, the Parties have caused this Amendment to be executed by their respective duly authorized representatives on the dates indicated below.


**Agreed & Accepted:**

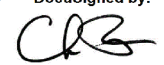
For the United Nations Foundation, Inc.:

For Wisconsin Department of Administration:

Date: 5/18/2023 \_\_\_\_\_

Date: 5/17/2023 \_\_\_\_\_

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 Henry De Sio  
 Chief Operating Officer

DocuSigned by:  
  
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 Name: Chris Patton  
 Title: Deputy Secretary  
 Tax ID: [REDACTED]

**Exhibit A – April 2023**

## Staff Capacity Renewal Application Form Climate Leadership Grant Program

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Please complete all below fields and upload your completed application to your state-specific folder. Questions? Contact Katie Thomas 202-862-8583; [kthomas@usclimatealliance.org](mailto:kthomas@usclimatealliance.org)

### 1. Application Information

Applying State Office	Wisconsin
Sponsoring USCA Alliance State Governor's Office Team Member	Wenona Wolf
Name of Primary Contact for Application	Maria Redmond
Title and Office of Primary Contact	Director, WI Office of Sustainability & Clean Energy
Primary Contact Email and Phone Number	<a href="mailto:maria.redmond@wisconsin.gov">maria.redmond@wisconsin.gov</a> 608-267-2713

### 2. Status Update of Current CLGP Grant

**Summary of Progress:**

The Chair of the Governor's Task Force on Climate Change (Task Force), former Lieutenant Governor Barnes, kicked off the first meeting of the 32-member Task Force in December 2019. Task Force members met regularly in public meetings from December 2019 to October 2020. To thoroughly develop and discuss climate solutions, the Task Force formed three subcommittees: Healthy Communities and a Strong Economy; Land Use and Conservation; and Energy, Housing, Infrastructure, and Transportation. The Task Force published their final report on December 9, 2020. Subsequently, on February 16, 2021, the governor announced his 2021-2023 Executive Budget, which included a historical number of climate and clean energy proposals

covering: Securing Environmental Justice and Building Resilience, Clean Energy and Clean Economy, Innovative Conservation and Land Stewardship, Cleaner and Safer Streets. Unfortunately, most of the proposed programs and resources were denied by the legislature during the budget process.

The state was also able to move forward with the creation and release of the first-ever Clean Energy Plan (CEP) for Wisconsin. The Office of Sustainability and Clean Energy (OSCE) launched the process to develop the CEP in the fall of 2020 and released the CEP during Earth week, April of 2022. The CEP integrated many of the recommendations as outlined in the Task Force Final Report.

The OSCE staff, supported by this grant, provided support for the development of recommendations of the Task Force and development and implementation of programs and policies as outlined in the Wisconsin's CEP through the following:

- Stakeholder engagement: Plan and schedule meetings with various stakeholder groups, including but not limited to environmental advocacy groups, environmental justice groups, utilities, labor groups, and State agencies. Supported the meetings through administrative tasks, presentation and agenda creation, and follow-up communications.
- Research and analysis: Research various policies and programs related to clean energy technologies and their climate, economic, health, and social impacts. Integrated suggestions and recommendations from stakeholder groups into the CEP and research said recommendations for effectiveness and environmental justice impact. Prepared spreadsheets on funding opportunities and programs of interest and briefs on contentious or ambiguous topics for review by the governor's office.

**Staff assigned to this grant:**

- Keith Reopelle, Program and Policy Analyst – Advanced, began work in August 2020, employment end date August 2022.
- Fiona Montie, Program and Policy Analyst – Advanced, began work in January 2021, employment end date June 2021.
- Katelynn Samuelson - Program and Policy Analyst – Advanced, began work in October 2021, currently employed, and will be supported by this grant renewal, if approved. Katelynn's role is the Climate and Clean Energy Initiatives Coordinator, assisting with the implementation of the CEP strategies, and Task Force recommendations, as well as consulting with stakeholders to identify and apply for resources (i.e., Inflation Reduction Act, Bipartisan Infrastructure Law, State programs and private funding)

**Budgeted vs. Actual Expenditures**

Below is the budgeted grant against the actual spending through March 24, 2023. Spending on this grant is expected to be complete by the end of May 2023.

Item	Budget	FY20	FY21	FY22	FY23	FY24 (current)	Total	Remaining Balance
Classified Limited Term Employ	150,000.00	0.00	44,504.02	59,304.61	32,853.52	2,234.45	138,896.60	8,557.23
Social Security		0.00	2,759.24	3,610.85	2,036.93	486.89	8,893.91	
Medicare Coverage - Government		0.00	645.30	925.95	476.38	32.40	2,080.03	
Other Retirement		0.00	0	0	1,187.98	172.05	1,360.03	
Pension Obligation Bond Payment		0.00	0	0	367.57	192.98	560.55	
Fringe Benefit Allocation/Tran		0.00	0	0	0	-348.35	-348.35	
Travel	10,000.00	0.00	0	0	0	0	0.00	
<b>Total</b>	<b>160,000.00</b>	<b>0.00</b>	<b>47,908.56</b>	<b>63,841.41</b>	<b>36,922.38</b>	<b>2,770.42</b>	<b>151,442.77</b>	

### 3. Scope of Need

In his 2019-2021 and 2021-2023 budgets, Governor Evers appropriated funding and position authority to create and staff the OSCE. However, funding and position authority for this office and its work were struck down by the legislature. In 2019, Governor Evers signed Executive Order #38 which created the office and directs the office to, in partnership with other state agencies and with state utilities, achieve a goal of ensuring all electricity consumed within the State of Wisconsin is 100 percent carbon-free by 2050. The administration was able to hire a director in early 2020, but the OSCE continues to struggle with no funding appropriated in the budget or financial resources to sustain additional full-time staff to support this work. In his 2023-2025 budget, Governor Evers again appropriated funding to create the OSCE, as well as support for two grant programs and additional staff. This proposal has yet to be considered by the state legislature.

Grant resources from this award renewal would be used toward supporting the current climate and clean energy fellow to assist the OSCE with the implementation of both the Task Force recommendations and CEP strategies. This position, the Climate and Clean Energy Initiatives Coordinator, will also work in consultation with stakeholders to

identify and apply for resources (i.e., Inflation Reduction Act, Bipartisan Infrastructure Law, State programs and private funding) This fellow will help to create an internal tracking system to develop and align policy with CEP strategies with funding resources. The fellow is a senior-level program and policy analyst who has experience in developing plans, with extensive experience outreach and organization. By supporting additional staff, even part-time, the administration will have the ability to create momentum that will organize and define the steps key stakeholders need to take to reduce emissions and environmental contributions to the climate crisis.

#### 4. Project Key Results and Outcomes

The Task Force, through Executive order #52, developed and delivered climate solutions to the governor to mitigate and adapt to the effects of climate change. The Task Force identified 55 climate solutions across nine sectors. The Task Force was also directed to work closely with the OSCE to identify new, cost-effective conservation, sustainability, and efficiency strategies for the state and prepare Wisconsin for climate change by incorporating climate adaptation strategies into existing planning. During the next year, this fellow will help to move the recommendations of the Task Force into policy and action.

The state's first ever CEP was released April 19, 2022, outlining over 70 strategies under four pathways to address climate change impacts and create action via multi-resource and multi-sector approach to transition to a clean energy economy, reduce emissions, prioritize environmental justice, and build out Wisconsin's clean energy workforce. During the next year, this position will help the Office of Sustainability implement these strategies with a focus on stakeholder engagement and collective action.

In April of 2022, Governor Evers issued Executive Order #161, creating the Office of Environmental Justice. Creation of this office came both from recommendations of the Task Force, as well as strategies in the CEP related to environmental justice and equitable economic development. The governor directs this new office to partner with the OSCE, state agencies, industry partners, and community organizations to ensure that the transition to clean energy includes the communities most impacted by climate change. During the next year, this fellow will engage with this office to ensure alignment and collaboration.

The fellow will continue to lead a robust engagement process with key stakeholders. In coordination with policy advisors in the governor's office, the OSCE, and the OEJ and this fellow will provide the necessary focus and support in establishing a framework and plan to track and provide transparency on progress in meeting emission reductions over the long-term.

The estimate of emission reductions associated with the project are unknown at this time. Emissions data available is generally at a statewide level and methodologies need

to be established specific to state agencies and sectors. Having staff dedicated to this work will support meaningful emissions reductions in Wisconsin by creating actionable insight for other entities, such as local governments who are pursuing similar emissions goals on the local level. The work and support of the fellow will put Wisconsin on a path to being carbon free by 2050 and possibly sooner—minimizing Wisconsin’s contribution to the climate crisis.

Anticipated end of Project Metrics for this fellow:

- 1) Number of Stakeholder Meetings
  - a. group or individual
  - b. broken down by sectors (if available)
  - c. resources shared
  - d. areas served (if available)
  - e. BIPOC, Tribal Nations, EJ communities
- 2) Number of Task Force Recommendations implemented.
- 3) Number of Clean Energy Plan strategies implemented.
- 4) Events attended, presentations given and number of participants.
- 5) Resources Lists created – (i.e., industry, solar, residential, electric vehicle, etc.)
- 6) Survey tool to track all the above metrics.

## 5. Project Activities

The climate fellow will continue provide support to the governor’s office and the OSCE in developing plans that will help Wisconsin mitigate and adapt the effects of climate change. The work and support of the climate fellow will allow Wisconsin to achieve its long-term goals of carbon reduction and ultimately make Wisconsin safer, healthier, and more productive for all communities. Developing and implementing plans, engaging stakeholders, government agencies, and the legislature, and defining benchmarks and criteria for evaluating policy will put Wisconsin on a path to being carbon free by 2050 and possibly sooner—minimizing Wisconsin’s contribution to the climate crisis.

The climate fellow will work under the direction of the governor’s office and the OSCE, which is the office charged with leading the work on climate and clean energy issues in the administration. Funding for the fellow will be directly distributed to the Department of Administration OSCE, which will then provide compensation, benefits, an office, and resources to the fellow. The climate fellow will report to the OSCE director. The fellow will work on a team with the governor’s deputy chief of staff, policy director, policy advisors, the OSCE director and other agency staff.

With the directives via Governor Ever’s Executive Orders the specific proposed work activities of the fellow include:

- 1) CEP Implementation and Further Planning Work



- a. Ensure program and policy development aligns with core values - justice, equity, and collective action.
  - b. Assist with coordinating stakeholder meetings and communications, including public meeting notices, compiling resources, and writing letters of intent and applications for upcoming federal funding opportunities.
  - c. Stakeholder information gathering, organization and analysis related to key strategies developed as part of the Clean Energy Plan.
  - d. Assist with updating the CEP document. (Alternatively: Assist with drafting and editing future versions of the CEP document)
  - e. Track the progress of the CEP strategy implementation.
  - f. Coordinate with agency and the OSCE staff to assist with the development of a work plan encompassing the strategies outlined in the Clean Energy Plan.
  - g. Engage with the OEJ to ensure alignment and collaboration.
- 2) Climate Change Program and Policy Implementation Work
- a. Consult with governor, agency, and the OSCE staff on data needs for publications and reports related to climate change policy.
  - b. Coordinate with agency and the OSCE staff to assist with the implementation of the CEP work plan containing the climate reduction strategies.
  - c. Coordinate resources and support (this will include the federal Bipartisan Infrastructure Law and Inflation Reduction Act funding) for implementation of policies.
  - d. Lead in preparation and presentation of program materials including policy-related presentations, memos, and other internal and external communications.
  - e. Serve on interagency committees and taskforces related to vehicle electrification, and other climate topic areas.
  - f. Participate on relevant US Climate Alliance Workgroups to build resources and support implementation work.
  - g. Engage with the OEJ to ensure alignment and collaboration.
- 3) Bipartisan Infrastructure Law, Inflation Reduction Act, State, Federal, Local and Private funding, and resources:
- a. OSCE is the Governor's designated agency for the EPA's Climate Pollution Reduction Grant, as well as other potential grants program. This fellow will serve as a bridge for work on this project until additional staff can be brought onto the team who will work directly on programs.
  - b. The OSCE is serving as a central source for information on funding and incentives for clean energy and sustainability efforts by stakeholders. While other organizations are hosting group sector meetings or webinars, we have established a unique approach as to provide one-on-one meetings with as many stakeholders as we can to give them the specific information they need pertaining to their projects. This fellow serves as a key contact and meets one-on-one with stakeholders to identify specific gaps and get them information, resources, or connections to other organizations to help them move their project forward.

## 6. Support Infrastructure

The OSCE receives direct support and leadership from the governor's office. Wenona Wolf, Director of Political Engagement and Planning to Governor Evers, serves as the Alliance's primary governor's office contact as part and will provide support and direction for this initiative.

The Department of Administration, where the OSCE is located, manages many services and programs on behalf of state agencies, including, but not limited to, providing budget, management, technology, and administrative services; providing broad administrative support and a variety of program services; and managing all state office buildings. The Department also coordinates land management programs; develops housing policy; offers a broad range of program assistance and funds to address homelessness and support affordable housing, public infrastructure, and economic development opportunities; and administers weatherization and low-income home energy assistance benefits and services. Danielle Williams, Deputy Assistant Secretary at the Department of Administration provides direct support and leadership to the OSCE and will provide input on the development and progress of this effort.

Maria Redmond, the Director of the OSCE will serve as the direct supervisor of this fellow. Ms. Redmond is a proven manager and leader, having overseen major grant and loan programs totaling more than \$85 million dollars over the past 22 years. Redmond is accountable for planning, administration and oversight of statewide energy programs and regulatory policies assuring alignment with the values, mission, and vision of the administration.

## 7. Scaling-up Results

Wisconsin recognizes the value of peer-to-peer information exchange. Wisconsin leadership continues to engage further and play a bigger role in the work of the US Climate Alliance—not only to advance the work in Wisconsin, but to advance the collective work of the Alliance. This engagement includes sharing policy ideas, challenges, and success stories—especially among states with similar demographics and similar political climates. Further engagement on wind and solar, flooding mitigation, electric vehicle corridors, sustainable agriculture practices, carbon sequestration, environmental justice, working with Indigenous populations, and building out our clean energy and climate workforce are high priorities for Wisconsin. These are areas where we welcome collaboration, educating, learning, and replication.

We need to continue to tell the climate story of Wisconsin: a state rich of natural resources but also one that experiences alarming racial disparities and poverty among our farmers and rural citizens. Embracing a clean energy economy in a blue-collar,

agriculturally abundant mid-west state is needed to grow our economy and ensure that all citizens and communities to thrive. Our work and leadership can provide a model for other states and communities.

Additionally Wisconsin staff will continue to engage in USCA workgroups. Wisconsin continues to actively participate on the Alliance's state as a leader, industrial, natural working lands, and GO teams discussions. In these workgroups we share best practices on data collection, methodologies, and goal setting. To better coordinate and communicate across the workgroups in the Alliance, OSCE is convening agencies across the enterprise for quarterly meeting with all state staff engaged with the USCA. Since there are several workgroups as part of the USCA and not all can actively participate and attend every workgroup meeting, this will help with Wisconsin's internal coordination and collaboration, provide more transparency on the efforts coming from each workgroup's and the Alliance's efforts on behalf of states, and improve our engagement with the Alliance. The fellow participates in one to two of the workgroups, will be a part of that larger inter-agency group, and will report out on activities in the relevant workgroups.

Wisconsin also recognizes that states in the Midwest (MI and MN) have actively working on implementation of similar clean energy and climate plans, and we are willing to participate in peer learning with these states to get updates and progress, problem solve and help each other to reach successful deployment of our strategies to address climate change.

## 8. Grant Administration

Wisconsin currently has an open grant with USCA and can accept funds from a 501c3. As with the other grant, the funds can be accepted through the authority of Wis. Stat 20.505(1)(j): (j) Gifts, grants, and bequests. The Department of Administration and the relevant state agency human resources staff are prepared to work closely with the USCA to work through the logistics and details of funding the position.

Since this position is filled by an incumbent, the funding awarded with help support continuity in relevant work and support for the OSCE. The current position is structured in accordance with the parameters of state law. Funding will support one part-time limited term employee (LTE) appointment over two years.

## 9. USCA Support

We anticipate receiving the same level of support from the USCA. That support includes providing recommendations and best practices related to stakeholder engagement, policy trends, and communication.

## 10. Budget

<b>Program and Policy Analyst-Advanced</b>	<b>Rate</b>	<b>LTE Hours</b>	<b>Total</b>
Salary	\$30.82	3,941	\$121,500
Fringe	7.65%		\$9,300
Travel			\$7,200
		<b>Two Year Total</b>	<b>\$138,000</b>

The budget does not include overhead or indirect expenses.

## 11. Representations

By submitting this application to the USCA Climate Leadership Grant Program, applicant agrees:

- a. disbursement of any funds is expressly contingent upon the prior execution of a formal grant agreement;
- b. all necessary approvals were obtained in accordance with applicable law.
- c. no portion of this grant will be used for any lobbying activities, as defined by the Internal Revenue Code, and the amount of the requested grant does not exceed the amount budgeted (if any) for non-lobbying activities related to the Climate Leadership Grant Program; and
- d. any grant resources that may be provided will not be used to replace a current or existing source of funding.

*Thank you for your application!*

**UNITED NATIONS FOUNDATION, INC. GRANT AGREEMENT**

Grantee: Wisconsin Department of Administration  
Grant Name: Wisconsin OSCE Climate Leadership Grant  
Grant Number: UNF-21-1262  
Grant Amount: \$88,132.00  
Date: May 13, 2021

1. This grant to Wisconsin Department of Administration (the “Grantee”) will support the specific purposes set forth in the written proposal, attached hereto as **Exhibit A** (the “Project”).

Payments received by the Grantee from the U.S. Climate Alliance, by and through the United Nations Foundation, Inc. (“UNF”), may be expended only for the purposes specified in the approved scope of work for the Project, subject to all conditions provided in this Agreement. No other uses of grant funds are authorized without the prior express written consent of UNF.

Consistent with the foregoing, all payments received by Grantee may be expended only for charitable, scientific, literary, or educational purposes within the meanings of Section 501(c)(3) and 170(c)(1) of the Internal Revenue Code of 1986, as amended (the “Code”).

2. This Grant Agreement (the “Agreement”) shall be effective June 1, 2021 and will be completed no later than September 30, 2023.
3. Grantee agrees that it may not use any portion of the funds to participate in or intervene in any political campaign on behalf of (or in opposition to) any candidate for public office. If the Project involves any lobbying activities, the Grantee represents that:
  - i) this grant is not earmarked for any lobbying activities, and
  - ii) the amount of this grant, together with any other grants from UNF for the same Project for the same year, does not exceed the amount budgeted (if any) for the year by the Grantee for non-lobbying activities. If this grant covers multiple years, the preceding sentence applies to each year of the grant with the amount of the grant measured by the amount to be disbursed by UNF in each such year.
4. The Grantee shall provide liability protection for its officers, employees and agents while acting within the scope of their employment. The Grantee further agrees to indemnify and hold harmless UNF, its directors, officers, agents and employees for any and all liability, including claims, demands, losses, costs, or damages to persons or property arising out of, or in connection with, or occurring in connection with, this Agreement where such liability is founded upon or grows out of acts or omissions of any of the Grantee’s officers, employees or agents while acting within the scope of

their employment, where protection is afforded by §§893.82 and 895.46(1), of the Wisconsin Statutes.

5. The Grantee shall cooperate with UNF in supplying any information or complying with any procedures that might be required by any governmental agency in order for UNF to establish the fact that it has observed all requirements of the law with respect to this grant.
6. The Grantee shall maintain insurance coverage sufficient to cover the activities and risks of the Project, and Grantee's acts and omissions in connection with performance of the Project, in accordance with generally-accepted industry standards and as required by law.
7. UNF may monitor and conduct formal evaluations of operations under this grant, which may include a visit from UNF personnel or other representatives, including representatives from The U.S. Climate Alliance, to observe Grantee's projects (including the Project) and programs and to review and/or audit financial and other records and materials connected with activities supported by this grant. Grantee agrees to timely cooperate and assist UNF with such monitoring and evaluation activities.
8. The Grantee agrees to keep accurate and complete books and records of receipts and expenditures using grant funds during the term of this Agreement and for at least four (4) years thereafter, or such longer time period as required by applicable law, and will make these books and records available to UNF and its representative for inspection as reasonably required.
9. Subject to the terms of this Section, each Party may publicly announce (both orally, on its website(s) and in printed/digital material(s)), its relationship with the other Party and/or the grant; and may distribute press information to media contacts. For the avoidance of any doubt, the aforementioned rights include the right for a Party to use the other Party's name and/or logo in any such announcement in accordance with any provided branding guidelines and applicable consents for use, including review and approval by the other Party prior to release or use. In order to ensure that there is no misunderstanding by the general public regarding UNF's involvement with the Project, the Grantee agrees to submit to UNF any public announcement relating to the Project for UNF's review and written consent prior to publishing. UNF's approval of any such communications or materials shall be given in its sole discretion. Grantee may, however, make reference to The U.S. Climate Alliance's support of Grantee's work generally as Grantee executes the Project.
10. UNF reserves the right, prior to submission for publication, to review and comment upon any documents, manuscripts, papers, exhibits or other materials that reference this grant. Grantee agrees to duly consider comments, suggestions or issues that Grantor identifies as noteworthy or of significance for any such publications.

11. Interim and Final narrative and financial reporting is required in accordance with the following schedule:

Date Due	Report Type	Period Covered
June 30, 2022	Interim	June 1, 2021 – May 30, 2022
October 31, 2023	Final	June 1, 2021 – September 30, 2023

Please refer to the “Reporting Requirements” attached hereto as Exhibit B for reporting guidelines.

In addition to the reports set forth in the Reporting Requirements, Grantee is encouraged to submit intermittent updates of grant activities, providing a sense of what the organization is accomplishing with UNF’s grant.

12. Subject to the Grantee’s compliance with the terms of this Agreement, funding for this grant will be paid according to the following schedule:

Payment Date	Payment Amount	Period Covered
Upon Execution of the Grant Agreement	\$88,132.00	May 1, 2021 – September 30, 2023

All payments shall be made in US Dollars. The Grantee shall be responsible for monitoring and managing any exchange rate fluctuations that may affect payments for Services under this Agreement. UNF shall not be responsible for any losses the Grantee may suffer from conversion of US Dollar amounts paid to Grantee to another currency or for any banking fees.

It is anticipated that the grant will be paid in accordance with the disbursement schedule noted in this section. However, in the case of a multi-year grant or other grant payable in installments, the payment of each installment shall be additionally subject to a determination by UNF, in its sole and absolute discretion, that i) Grantee has provided all required reports and any other deliverables specified in this Agreement or otherwise requested by UNF in a timely manner; ii) payments received from UNF have been used solely for the purposes specified in this Agreement; iii) additional payments shall be used solely for the purposes specified in this Agreement; iv) Grantee has otherwise strictly complied with the terms and conditions of this Agreement; v) there have been no material changes in the Grantee’s operations, staffing or funding that would adversely affect the objectives for which the grant was authorized; and vi) the context in which the Project is to be executed has not been changed in such a manner that the objectives for which the funds were authorized are not likely to be adequately realized.

13. Grantee represents, warrants, and covenants: (i) acceptance of the grant funds and subsequent use of the same in conjunction with the Project will not violate any applicable state or federal law, statute, rule, or regulation; (ii) it has the requisite

authority to accept grant funds from a private organization; and, (iii) all representations, warranties, and covenants contained in this Agreement shall continue to be true throughout the term of this Agreement, and Grantee shall immediately notify UNF in writing if any representation, warranty, or covenant is no longer true.

14. The Grantee agrees that UNF's grant funds will be used in compliance with all applicable anti-terrorist financing and asset control laws, regulations, rules and executive orders, including, but not limited to, the USA Patriot Act of 2001, as amended, and the laws and regulations implemented by the U.S. Department of the Treasury Office of Foreign Assets Control ("OFAC"). In this regard, the Grantee agrees to take all necessary steps to ensure that no person or entity expected to receive funds in connection with this grant: a) is named on any list of suspected terrorists or blocked individuals maintained by the U.S. government, including but not limited to the Annex to Executive Order No. 13224 (2001) (Executive Order Blocking Property and Prohibiting Transactions with Persons Who Commit, Threaten to Commit, or Support Terrorism); b) is named on the List of Specially Designated Nationals ([www.treasury.gov/sdn](http://www.treasury.gov/sdn)), is named on any other OFAC Sanctions List, or is an entity owned or controlled by such persons; or c) in or with countries or territories against which the U.S. maintains comprehensive sanctions (such as, Cuba, Iran, Syria, North Korea and the Crimea Region of the Ukraine). The Grantee shall perform all necessary due diligence to assure that use of the grant funds is in compliance with all applicable laws.
15. The Grantee acknowledges that none of the activities financed by the grant violates U.S. laws that prohibit corrupt payments, gifts or other items of value (directly or indirectly) to anyone in order to improperly influence any act or decision relating to UNF or the Project or for the purpose of obtaining or retaining business and that the Grantee has not authorized any activity that would constitute such payments. The Grantee will take all steps necessary to assure that grant funds are not used to make corrupt payments in violation of this section.
16. UNF may modify, suspend, or discontinue any payment, and/or may terminate this Agreement if: a) UNF is not reasonably satisfied with the Grantee's progress on the Project; b) there are changes in the Grantee's leadership or other organizational factors that UNF reasonably believes may threaten the Project's success; c) funding for this Project is suspended or terminated by a UNF or U.S. Climate Alliance funder; d) there is a change in the Grantee's tax status; or e) the Grantee fails to comply with any of the terms of this Agreement. If this Agreement is terminated, no further distribution of grant funds shall be made to the Grantee, and Grantee will immediately return all unexpended funds to UNF.
17. UNF and the Grantee are independent contractors. Nothing in this Agreement is intended or shall be deemed to create an association, partnership, joint venture, agency or employer and employee relationship between the parties, or to authorize either party to act as agent for the other or to enter into contracts on behalf of the other.



- 18. Neither the Grantee nor any individual employed or contracted by the Grantee shall engage in any personal, business or professional activity that conflicts or could conflict with any of their respective obligations in relation to this Project, or that creates an actual or apparent conflict of interest.
- 19. The parties acknowledge this grant is a subgrant by UNF and funded wholly by supporters of the U.S. Climate Alliance.
- 20. This Agreement (including all attachments hereto, all of which are incorporated herein by reference) constitutes the entire agreement between UNF and the Grantee with respect to the subject matter hereof, and supersedes all prior agreements and understandings, whether oral or written, with respect to such subject matter. This Agreement may not be amended or modified except in a writing signed by UNF and the Grantee that specifically references this Agreement. All provisions of this Agreement that by their terms or nature should survive the expiration or termination of this Agreement shall so survive. Neither this Agreement nor any provision hereof shall be construed against either party on the grounds that this Agreement or such provision was drafted by said party. The provisions of this Agreement are severable, and the unenforceability of any provision of this Agreement shall not affect the enforceability of the remainder of this Agreement. This Agreement shall be deemed to be made under, and in all respects, interpreted under and governed by the laws of the State of Wisconsin without reference to its conflicts of laws rules or principles. This Agreement may be executed in counterparts and in electronic (pdf or facsimile) form, each of which shall be deemed to be an original but all of which together shall constitute a single instrument.
- 21. The special stipulations contained in Exhibit C are hereby incorporated herein by reference.

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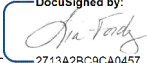
IN WITNESS WHEREOF, the Parties have caused this Agreement to be executed by their respective duly authorized representatives on the dates indicated below.

**Agreed & Accepted:**

For the United Nations Foundation, Inc.:

6/3/2021

Date: \_\_\_\_\_

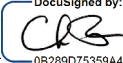
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Lia Fordjour  
 Chief Financial Officer  
 United Nations Foundation

For the Wisconsin Department of Administration

6/1/2021

Date: \_\_\_\_\_

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Name: Chris Patton  
 Title: Deputy Secretary  
 Tax ID: [REDACTED]

**EXHIBIT A**
**UNITED STATES  
CLIMATE ALLIANCE**

## Staff Capacity Application Form Climate Leadership Grant Program

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Please complete all below fields and upload your completed application to your state-specific folder. All applications are due **January 19<sup>th</sup>, 2021 by 5pm ET**. Questions? Contact Katie Thomas 202-862-8583; [kthomas@usclimatealliance.org](mailto:kthomas@usclimatealliance.org)

### 1. Application Information

Applying State Office	Wisconsin Office of Sustainability and Clean Energy, Department of Administration
Sponsoring USCA Alliance State Governor's Office Team Member	Wenona Wolf, Deputy Chief of Staff and Policy Director, Lt. Governor Barnes
Name of Primary Contact for Application	Maria Redmond
Title and Office of Primary Contact	Director, Wisconsin Office of Sustainability and Clean Energy
Primary Contact Email and Phone Number	<a href="mailto:maria.redmond@wisconsin.gov">maria.redmond@wisconsin.gov</a> 608-267-2713 608-235-3291 (cell)

### 1. Scope of Need

*Describe the specific barriers your office faces with respect to the staff capacity needed for formulating, developing, and implementing climate policy. If applicable, please also explain how additional staff support would not displace current talent but add value to state climate efforts.*

In his 2019-2021 budget, Governor Evers appropriated funding to create the Office of Sustainability and Clean Energy (OSCE). However, funding for this office and its work were struck down by the legislature. In 2019, Governor Evers signed Executive Order #38 which created the office and directs the office to, in partnership with other state agencies and with state utilities, achieve a goal of ensuring all electricity consumed within the State of Wisconsin is 100 percent carbon-free by 2050. The administration was able to hire a director in early 2020, but OSCE continues to struggle with no funding appropriated in the budget or financial resources to sustain additional full-time staff to support this work.

Grant resources would be used toward hiring a climate/clean energy staff person to assist OSCE with their *State as a Leader Initiative*. This staff person will serve as the project lead to support the implementation an internal tracking system that will help the state to track state agency data attributable to greenhouse gas (GHG) reduction goals and providing transparency on agency progress. The staff person would be a senior-level program and policy analyst who has experience in developing plans and some experience in supporting enterprise applications. In supporting both the internal tracking system and the online dashboard, the staff person will make efficient use of resources and toolkits already available, particularly best practices and lessons learned by other USCA states. By hiring additional staff, even part-time, the administration will have the ability to create momentum that will organize and define the steps State of Wisconsin Agencies needs to take to reduce its contributions to the climate crisis.

## 2. Project Key Results and Outcomes

*Additional staff support should be used to advance your Governor's near-term climate change policy goals. Please describe:*

- *measurable, time-bound key results expected to be achieved during the 2-year term of the new staff;*
- *long-term outcomes<sup>1</sup> that would be achieved after the 2-year term; and*
- *an estimate of emission reductions associated with the project or how this project will support meaningful emissions reductions in your state or across borders. Where the funding will support demonstration projects, please explain how that demonstration project could be scaled up to achieve emissions reductions at scale.*

The Governor's Task Force on Climate Change, through Executive order #52, developed and delivered climate solutions to the Governor to mitigate and adapt to the effects of climate change. The task force identified 55 climate solutions across nine sectors. State lead by example was one of the identified solutions in the energy sector (recommendation #11). The task force was also directed to work closely with the OSCE to identify new, cost-effective conservation,

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<sup>1</sup> Outcomes are the long term impacts the project would have should it be funded.

sustainability, and efficiency strategies for the state and prepare Wisconsin for climate change by incorporating climate adaptation strategies into existing planning.

We anticipate it will take at least one-year to develop and launch the internal data tracking system and the user manual, staff training. The tool will require ongoing maintenance and progress updates. After the tracking system is developed, we hope to launch an online dashboard as well as conduct stakeholder outreach. The staff person would support the implementation of the tool, first by project managing a robust engagement process with state agencies and staff. In coordination with policy advisors in both the governor and lieutenant governor's office and the OSCE Director, the staff person would provide the necessary focus and support in implementing a framework and technical tool to plan, track and provide transparency on progress in meeting emission reductions over the long-term. The intention is to implement a sustainable tracking system that will be used over the next three decades, making use of efficient automation technology and wherever possible and appropriate. Long-term, the implemented tracking tool will help the state of Wisconsin Agencies:

- a. Set new goals based on the data gathered. Some goal setting may require exploring statutory/legislative changes or rulemaking.
- b. Empower agencies to lead by providing data, deploying clean energy and sustainable practices and tracking progress towards goals.
- c. Innovate by reconsidering business as usual policies and practices.
- d. Instigate internal competition to do better, faster.

The estimate of emission reductions associated with the project are unknown at this time. Emissions data available is generally at a statewide level and methodologies need to be established specific to state agencies and sectors. That is why implementing the tracking tool is so important, as it allows the state to create agency-specific baselines as a starting point. This gives the agencies, and eventually public and stakeholders a consolidated view across the organization. Having staff dedicated to this work will support meaningful emissions reductions in Wisconsin by creating actionable insight for other entities, such as local governments who are pursuing similar emissions goals on the local level. These efforts could potentially enable further emissions reductions from those residents and local governments who follow our lead. We then can realize the full emissions reductions potential of the State Lead by Example Initiative. The work and support of the staff person will put Wisconsin on a path to being carbon free by 2050 and possibly sooner—minimizing Wisconsin's contribution to the climate crisis.

### 3. Project Activities

*Please describe the project activities and how this set of activities will help achieve the outcomes and key results described above. For example, what would be the roles and responsibilities of the new staff during their 2-year term? What specific projects/policy priorities would the staff support and what is the timeline for doing so during their 2-year term? How would this additional staff capacity help advance the Governor's near-term climate change policy goals?*

With the directives via Governor Ever's Executive Orders and the recent recommendations by the Governor's Task Force on Climate Change related to State lead by example, the proposed work activities of the staff person would include:

- 2) Coordinate the work of the State Agency Technical Team – established to build consensus and ownership of work and commitment to project.
- 3) Identify, Organize and Analyze current data – work with agencies to understand what data is available, where it is located within state services and the format of the data – this would include environmental justice, fleet operations, buildings (new construction, upgrades maintenance and operations), water and energy use, land-use, procurement, waste management, and expenditures and GHG associated with the target areas, this could also include current statutes or governance specific to state agencies;
- 4) Develop a way to capture missing data for filling data gaps;
- 5) Support the work of a consultant that will help Implement an internal tool to collect data from agencies.
- 6) Organize Best Practices/Lessons learned - Provide a model for local governments (and maybe other organizations, tech colleges/businesses, with large footprints) to implement, look to local governments who are doing similar work and find opportunities for reciprocity.
- 7) Support Education and Outreach - Educate agencies/communities on the importance and impacts of shifting to a carbon free economy and how they can be involved.

In supporting both the internal tracking system, the staff person will make efficient use of resources and toolkits already available, particularly best practices and lessons learned by other USCA states.

### 4. Support Infrastructure

*The new staff should be sufficiently integrated with and report to key decision-makers to effectively support state priority climate policies and programs. Please provide an overview of the agency/program receiving additional staff. Describe the infrastructure and resources in place to support the new staff in achieving the policy goals (e.g. in what office would the position be located, to whom would*

*the new staff report, what additional staff resources are available to the new staff).*

OSCE receives direct support and leadership from the Governor's Office and Lt. Governor's Office. Wenona Wolf, Deputy Chief of Staff and Policy Director to Lt. Governor Mandela Barnes, serves as the Alliance's primary Governor's office contact as part and will provide support and direction for this initiative.

The Department of Administration, where OSCE is located, manages many services and programs on behalf of state agencies, including, but not limited to, providing budget, management, technology, and administrative services; providing broad administrative support and a variety of program services; and managing all state office buildings. The Department also coordinates land management programs; develops housing policy; offers a broad range of program assistance and funds to address homelessness and support affordable housing, public infrastructure, and economic development opportunities; and administers weatherization and low-income home energy assistance benefits and services. Olivia Hwang, Deputy Assistant Secretary at the Department of Administration provides direct support and leadership to OSCE and will provide input on the development and progress of this effort.

Maria Redmond, the Director of OSCE will serve as the direct supervisor of this fellow. Ms. Redmond is a proven manager and leader, having overseen major grant and loan programs totaling more than \$85 million dollars over the past 19 years. Redmond is accountable for planning, administration and oversight of statewide energy programs and regulatory policies assuring alignment with the values, mission and vision of the administration.

## 5. Scaling-up Results

*USCA members benefit by learning from each other, and given the scale of their shared market, adoption of substantially similar policies across states can transform markets, leading to positive impacts across the country. How would the results be scaled up? Please describe:*

- *state engagement within USCA, especially current or expected leadership roles in USCA or regionally, and areas of focus that help to advance both state priorities and USCA-wide priorities;*
- *replicability across USCA states (i.e., potential for other states or regions to adopt similar practices and replicate success); and*
- *consideration of regional, political, sectoral or other diversity that would help make a case for climate action across the United States.*

Wisconsin recognizes the value of peer-to-peer information exchange. Wisconsin leadership continues to engage further and play a bigger role in the work of the US Climate Alliance—not only to advance the work in Wisconsin, but to advance the collective work of the Alliance. This engagement includes sharing policy ideas, challenges, and success stories—especially among states with similar demographics and similar political climates.

In addition to other workgroups, Wisconsin plans to actively participate on the Alliance’s state as a leader workgroup, where USCA will connect member states with lead by example and sustainability teams to help states establish this type of program. We are excited to co-lead this effort with Massachusetts to share best practices on data collection, methodologies, and goal setting. Wisconsin also recognizes that states in the Midwest have either already established (MN) or are actively working on (MI) similar tools and would be willing to participating in peer learning with these states to get updates and progress, problem solve and help each other to reach successful deployment of our dashboards.

## 6. Grant Administration

*Would the applying state/agency be the recipient of the grant?*

*If yes, please provide a description of the legal authority that would allow the applying state/agency to receive the sub-grant funding for the purposes contemplated by this application. Please also confirm that the applying state/agency would be able to create the position in accordance with state hiring laws and practices. Please also explain your expected timeframe for hiring, describe any potential delays and mitigation strategies to overcome hiring delays.*

*If no, please indicate the organization that would be the primary recipient of the grant, and would be hosting the staff working on the project activities identified in Question #4.*

Wisconsin currently has an open grant with USCA and can accept funds from a 501c3. As with the other grant, the funds can be accepted through the authority of Wis. Stat 20.505(1)(j): (j) Gifts, grants, and bequests. The Department of Administration and the relevant state agency human resources staff are prepared to work closely with the USCA to work through the logistics and details of creating, filling, and funding position.

To fill this position, OSCE will explore programs that support diversity (for example the RAY Diversity Fellowship Program). Exploration of option can happen prior to an award. OSCE would aim to begin recruitment of this position

within a month of an award, after a sub-grant contract is established and USCA has an opportunity to review the position description.

There are three ways to structure the position within the parameters of state law. The preferred method would be to one half-time limited term employees (LTE). This would provide OSCE the maximum latitude to recruit and hire a candidate. The second method would be to partner with the University of Wisconsin System. With a memorandum of understanding, the staff person would be employed by the University but would work at the Department of Administration. OSCE could also explore the option for a project position, but whether this type of position is available for a staff person would have to be determined closer to the time the position would be filled.

### 7. USCA Support

*Please describe whatever support, including communications and any other capacities, that would be expected from USCA to support the new staff.*

We anticipate receiving the same level of support from the USCA. That support includes providing recommendations and best practices related to stakeholder engagement, policy trends, and communication.

### 8. Budget

*Please provide an itemized project budget for the new position’s salary and benefits. Any travel expenses incurred by the new staff can be reimbursed directly by USCA. Note, grant funds cannot be used to cover overhead or indirect expenses (e.g., office supplies, computer equipment, etc.).*

<b>Classification</b>	<b>Hourly Salary</b>	<b>Annualized LTE Hours</b>	<b>Annual Salary</b>	<b>Fringe</b>
Program and Policy Analyst-Advanced	\$39.36	1040	\$40,934.40	\$3,131.48
			Two Year Total	\$88,132

The budget does not include overhead or indirect expenses.

### Representations

By submitting this application to the USCA Climate Leadership Grant Program, applicant agrees:

- a. disbursement of any funds is expressly contingent upon the prior execution of a formal grant agreement;
- b. all necessary approvals were obtained in accordance with applicable law;



- c. no portion of this grant will be used for any lobbying activities, as defined by the Internal Revenue Code, and the amount of the requested grant does not exceed the amount budgeted (if any) for non-lobbying activities related to the Climate Leadership Grant Program; and,
- d. any grant resources that may be provided will not be used to replace a current or existing source of funding.

*Thank you for your application!*

## **EXHIBIT B**

### **REPORTING REQUIREMENTS**

UNF requires for our records an interim and final report on the schedule specified in Section 11 of the Agreement. Each report must: i) describe in narrative fashion what was achieved with the grant funds; and ii) provide a full financial accounting of the grant funds.

#### **I. Narrative Report**

Each narrative report must, in five (5) pages or less, summarize how the grant funds were used and the results that were achieved. The report will be used by UNF staff to evaluate and provide an overview of your work to our funders. Please address the following topics in your report:

- a. Please describe any notable accomplishments (updates on major meetings, achieved benchmarks, major gifts, etc.). For each accomplishment, please describe any activities that contributed substantially to these successes.
- b. Address all challenges or obstacles that were experienced with respect to performance of the project during the reporting period. Were these challenges outlined in the original grant proposal? Describe how these challenges were addressed and if it is possible to prevent them from reoccurring.
- c. Please describe the tangible results of the project. What did the project produce (e.g.: print publications, scholarship, conference costs)? If your grant was for operating support, please include how the accomplishments were supported with operating funds (e.g.: staff salary, meeting expenses, supplies, etc.). We would like to know the productive activities that are produced by supporting your operational infrastructure.
- d. Please submit copies of significant reports, books or media productions that stem from your efforts in connection with this grant.

#### **II. Financial Report**

Each financial report must be as detailed as possible and include the following:

- a. A line item comparison of budgeted versus actual expenses as they relate *specifically to this grant*.
- b. A statement certifying that all grant funds were expended for the purposes of the grant. If the entire grant funds have not been expended, please explain the proposed usage of the unexpended amount.
- c. An explanation of any variance from the project budget submitted with your organization's proposal.

**EXHIBIT C**

**SPECIAL STIPULATIONS**

None

**US CLIMATE ALLIANCE – STAFFING CAPACITY GRANT  
INTERIM REPORT  
AUGUST 1, 2020 - MAY 1, 2021**

**TASK FORCE ON CLIMATE CHANGE & CLIMATE ACTION PLAN**

**Notable Accomplishments:**

The Chair of the Governor’s Task Force on Climate Change, Lieutenant Governor Barnes, kicked off the first meeting of the 32-member task force in December 2019. Task Force members met regularly in public meetings from December 2019 to October 2020. To thoroughly develop and discuss climate solutions, the task force formed three subcommittees: Healthy Communities and a Strong Economy; Land Use and Conservation; and Energy, Housing, Infrastructure, and Transportation. Expert guests were invited to present at both full-member meetings and subcommittee meetings. In addition to these public meetings, the task force hosted five virtual listening sessions (June – July 2020) and called for written public comments through the end of July 2020. During the grant reporting period the following notable activities occurred:

Major meetings:

- 08/05/20 - Energy, Housing, and Infrastructure Subcommittee Member Meeting – review of draft policy recommendations related to renewable energy, building codes, and transportation.
- 08/05/20 - Land Use and Conservation Subcommittee Member Meeting - review of draft policy recommendations related to forestry, agriculture, and flooding.
- 08/10/20 - Healthy Communities and Strong Economy Subcommittee Meeting - review of draft policy recommendations related to climate justice and equity, resiliency, and economic development.
- 08/13/20 - Executive Committee Meeting – Subcommittee Chair review of all draft policy recommendations.
- 08/26/20 - Member Meeting – Draft policy recommendations discussion
- 09/03/20 - Member Meeting - Public comment overview & discussion, overview of recommendation additions
- 10/08/20 - Member Meeting - Policy and final report discussion
- 03/04/21 - Member Meeting – Discussion of the state budget process; review and discussion of climate budget items

Public meeting documents and recordings can be found [here](#).

Achieved Benchmarks:

- Sep-Dec/20: Report design work developed, implemented, and completed.
- 10/31/20 - Governor’s Task Force on Climate Change recommendations delivered to the Governor. This report included 55 climate solutions covering 9 sectors.
- 12/9/20 – Governor’s Task Force on Climate change Report Released to the public.
- 02/16/21 – Governor Ever’s Budget Address - 2021-2022 Executive Budget highlights climate change and in 38 proposals.

Sample of outreach to various stakeholders on Task Force recommendations:

- Citizens Climate Lobby
- Great Lakes Indian Fish and Wildlife Commission

- Pew Charitable Trust
- Walnut Way Conservation
- Great Plains Institute – Midwest State Energy Collaborative
- Wisconsin Bike Federation

*Activities that contributed substantially to these successes:* Extensive coordination by leadership, state agencies, Office of Sustainability and Clean Energy staff, and US Climate Alliance staff were necessary to achieve the above accomplishments. OSCE staff, supported by this grant, helped to develop agendas, public meeting notices, resources, and recommendations templates for Task Force members, presentations for Task Force member meetings, and assisted with content and design of the final report.

### **Challenges:**

The team was aware of the COVID-19 pandemic when the grant was approved, but it was unclear how it would affect the timeline for the work of the Task Force. The timeline needs to be adjusted therefore, delivery of the recommendations to the Governor, originally scheduled for August 31, 2020, was extended to October 31.

### **Tangible Results:**

The Governor’s Task Force on Climate Change published their final report on December 9, 2020. Subsequently, on February 16, 2021, the Governor announced his 2021-2023 Executive Budget, which included a historical number of climate and clean energy proposals. The following were directly attributed to the recommendations of the task force:

- Securing Environmental Justice & Building Resilience
  - Create the Office of Environmental Justice within the Department of Administration, which will facilitate collaboration across state agencies and engage with environmental justice advocates communities of color, Native Nations, and low-income populations to design climate policies that reduce emissions and pollutants and address the cumulative and deadly impact of their concentration within those communities.
  - Provide \$25,000 GPR in the fiscal year 2021-22 for the Department of Natural Resources to start a tribal relations pilot project to be used as a tool to better communicate with tribal nations.
  - Create a Chief Resilience Officer within the new Office of Environmental Justice to help develop and oversee state and local government risk assessment and resilience plans.
  - Provide \$1,800,000 GPR each year for grants to counties for additional county conservation staff to support climate change resiliency efforts.
  - Invest more than \$30 million in programs that help build resilient roads and infrastructure, restore wetlands to prevent catastrophic flooding, support farmers when their crops are damaged, as well as an innovative first-of-its-kind program to help Wisconsinites purchase flood insurance.
  - Establish a technical assistance grant program to assist municipalities and tribal nations to develop a plan to be carbon-free by 2050.
- Clean Energy & Clean Economy
  - Provide \$500,000 GPR annually under the Wisconsin Fast Forward framework for training for green jobs throughout the state, which will encourage individuals to engage in conservation and environmental career paths.

- Create a \$4 million renewable and clean energy research grant in the fiscal year 2021-22 administered by the Office of Sustainability and Clean Energy and funded by the Environmental Fund.
- Double the required utility contribution for the Focus on Energy program from 1.2 percent to 2.4 percent of annual operating revenues, which would generate an additional \$100 million in annual funding for the program.
- Reserve a portion of the additional Focus on Energy funds for the Public Service Commission to develop a low-income customer track that will, in partnership with the Department of Administration Weatherization program, offer enhanced incentives for eligible customers.
- Require the Public Service Commission to reevaluate the appropriate social cost of carbon every two years, in consultation with the Department of Natural Resources, and report the findings in a biennial report to the standing legislative committees. Require the commission to consider the social cost of carbon when determining whether to issue construction certifications.
- Expand low-cost debt financing of clean energy projects for residential, commercial, and governmental customers, including:
  - Requiring PSC to create a model ordinance for counties to establish a property assessed clean energy (PACE) financing program.
  - Authorizing the Focus on Energy program to allocate funds to market PACE programs across the state.
  - Authorize regulated utilities to offer inclusive on-bill financing for energy efficiency improvements.
  - Expand the types of remaining costs incurred by retiring power plants that can be securitized using environmental trust bonds, which can result in cost-savings for customers.
- Requiring the State Bureau of Procurement within the Department of Administration to develop a plan to expand the use of green procurement practices and submit it to the governor by June 30, 2022.
- Provide \$10 million each year for grants to school districts to conduct energy efficiency school building projects, with priority for heating, ventilation, and air conditioning system projects.
- Require the Department of Public Instruction to include climate change and human effects on climate change in model academic standards.
- Create a sales and use tax exemption for property used primarily to store or facilitate the storage of energy produced by a solar, wind, or biogas renewable energy system. The fiscal impact is an estimated reduction in tax revenue of \$3.8 million in FY22 and \$4.4 million in FY23.
- Direct the Public Service Commission to establish an Innovative Technologies Pilot Program, which would set a voluntary goal for utilities to collectively spend \$100 million over five years on a pilot for a range of innovative technologies, including storage and microgrids.
- Innovative Conservation & Land Stewardship
  - Connect people to local food systems by increasing funding for the Buy Local, Buy Wisconsin program, a grant program that funds local food efforts to increase the sale of Wisconsin-produced agricultural products.
  - Create a new food waste reduction pilot project at the Department of Agriculture, Trade and Consumer Protection, funded at \$100,000 GPR each year.

- Provide \$370,000 SEG each year for a pilot program that studies the feasibility of a carbon market covering the state. Provide grants to producers and producer-led groups who participate in a carbon market pilot program.
- Create a new biodigester planning grant, funded at \$250,000 GPR each year.
- Provide \$500,000 GPR in the fiscal year 2021-22 to establish a nitrogen optimization pilot program.
- Extend the Warren Knowles-Gaylord Nelson Stewardship 2000 Program until the fiscal year 2031-32 at \$70 million per year. Provide \$700 million in bonding authority for the program.
- Increase funding for the Urban Forestry Grant program by \$345,000 SEG each year to combat emerging threats to urban forestry.
- Create additional categories within the Urban Forestry Grant program and provide \$300,000 SEG in the fiscal year 2021-22 and \$650,000 SEG in the fiscal year 2022-23.
- Providing \$325,000 SEG in each year in additional funding for the Wisconsin Forest Landowner Grant Program.
- Provide \$783,900 SEG each year to increase the county forest acreage share payments from \$0.30 per acre to \$0.63 per acre.
- Authorize \$12 million in bonding authority in the urban non-point source and stormwater grant program and the municipal flood control program.
- Authorize \$7 million in bonding authority for the Soil and Water Resource Management (SWRM) program at the Department of Agriculture, Trade and Consumer Protection.
- Create a sales tax exemption for landscape planning and counseling services that pertain to the restoration, reclamation, or revitalization of prairie, savanna, or wetlands if such services are provided under a separate fee distinct from other services. The fiscal impact is an estimated reduction in tax revenue of \$200,000 in FY22 and \$300,000 in FY23.
- **Cleaner and Safer Streets**
  - Allocate \$10 million of the remaining Volkswagen emissions settlement funds to be dedicated to reestablishing an electric vehicle charging station grant program administered by the Department of Administration. Allocate remaining funds of more than \$10 million for the replacement of state vehicles with a focus on clean energy vehicles.
  - Include requirements for non-motorized transportation facilities known as Complete Streets when building certain roadways.
  - Provide local units of government with the authority to use eminent domain to purchase land for the construction of non-motorized paths.
  - Provide \$5 million in transportation fund supported bonding to build electric vehicle charging infrastructure.
  - Provide an additional \$1 million each year for the transportation alternatives program.
  - Create a pilot program for critical infrastructure with \$15 million in transportation fund supported bonding to reconstruct transportation facilities that are at high risk of destruction from increasingly extreme weather events.
  - Increase the Coordination of Transit for Job Access by \$4 million each year to expand the eligibility of applicants of the program to higher populated areas of the state.

**Significant Reports, Books, or Media Productions:**

Governor’s Task Force on Climate Change Report - [Link](#)

Governor’s 2021-2023 Executive Budget – [Link](#)

## **STATE ENERGY PLAN**

### **Notable Accomplishments:**

The process to develop the Clean Energy Plan commenced in the fall of 2020. As a starting point, the process builds off various strategic planning efforts conducted by multiple stakeholders to advance clean energy and address climate change in the state and region, including:

- The Governor’s Task Force on Climate Change - The Task Force’s 55 policy recommendations included a variety of energy and environmental issues. Some of those recommendations are under consideration and are being analyzed throughout the development of this plan.
- The Midcontinent Power Sector Collaborative (MPSC) and Midcontinent Transportation Electrification Coalition (MTEC) *Decarbonization Roadmaps* - These are consensus documents that included substantial presence from Wisconsin entities.
- The Wisconsin Energy Distribution and Technology Initiative (WEDTI), a Wisconsin stakeholder group that made 14 specific consensus recommendations to help Wisconsin residents and businesses accelerate the transition to the clean energy economy and optimize the energy system for the state.
- The United States Climate Alliance (USCA) strategies for participating states.

We spent the last few months consulting with stakeholders in Wisconsin, as well as agency personnel and outside experts from across the country, to set a course that will not only accomplish Wisconsin’s clean energy goals but do so in a manner that will benefit the greatest number of Wisconsin residents. Our initial stakeholders were comprised of a wide range of Wisconsin energy stakeholders, including utility companies, Tribal voices, environmental justice organizations, environmental nonprofits, labor groups, and technical colleges, among others. We also have been working closely with a group of agency staff and a technical team to help us model specific strategies to show the impact they will have on reducing greenhouse gas emissions in the state.

During the grant reporting period the following notable activities occurred:

### **Major meetings:**

- Sep-Dec/20 - Weekly planning meetings
- 10/6/20 - WI Clean Energy Plan Kick-Off Discussion – Meeting with the Leadership Team to update them on the timeline and plan of action for facilitating the clean energy plan process.
- 12/2/20 - WI Clean Energy Plan Introductory Meeting #1 – Advisory Team - designed to introduce the Stakeholder Advisory Team members to the process as well as to each other and to help the members understand their roles.
- 01/28/21 - WI Clean Energy Plan Meeting #2 – Advisory Team members were provided a list of 112 potential strategies to be considered for the Clean Energy Plan.
- 02/26/21 - WI Clean Energy Plan Meeting #3 – Advisory Team - Between Meetings #2 and #3, the OSCE refined the list of potential strategies, sending to the Stakeholder Advisory Group a set of 22 major strategies and one to five specific ways to meet the strategy. In addition, each strategy delineated more detailed information regarding pathways to implementation; environmental justice considerations; the timeframe for implementation; technical analysis or impact of the strategy; and any background context or supporting



documentation. The goal was to provide the Stakeholder Advisory Team members with the rationale for each strategy as well as how OSCE anticipated implementation.

- 03/23/21 - Environmental Justice Meeting – This was a conversation regarding environmental justice in the Wisconsin Clean Energy Plan. The internal Clean Energy Plan team made it a priority to center all strategies on environmental justice. This meeting was a discussion with targeted environmental justice groups to ensure the Clean Energy Plan captures their expertise, perspectives, experiences, and knowledge.
- 03/23/21 - Labor Representatives Meeting - This was a conversation regarding labor concerns and priorities in the Wisconsin Clean Energy Plan. The internal Clean Energy Plan team held this discussion with labor groups to dive deeper into labor issues related to renewable energy build out, training, and the joint goal of a just transition of workforce from traditional fossil fuels to clean energy.
- 04/16/21 - WI Clean Energy Plan Meeting #4 – Advisory Team - Between Meetings #3 and #4 of the Advisory Team, numerous changes were made to the draft working document of the Clean Energy Plan. Some new sections were added as well as additional explanatory text. Furthermore, the document was updated with additional modeling and data to support the strategies. The document was not sent to Advisory Team members in advance of Meeting #4 but was discussed at the meeting itself.

#### Achieved Benchmarks:

Four groups formed to assist with the development of the plan included:

- **Leadership Team:** This team consisted of senior-level officials from the Governor’s Office, Lt. Governor’s Office, and Department of Administration, in addition to other cabinet staff, collaborating on the planning process.
- **Agency Technical Team:** Leaders from State of Wisconsin agencies that work on energy-related issues helped to formulate the recommendations, utilizing their expertise on programs and data.
- **Stakeholder Advisory Team:** Comprising a wide range of Wisconsin energy stakeholders, including utility companies, Tribal voices, environmental justice organizations, environmental nonprofits, labor groups, and technical colleges, among others. This team reviewed the proposed recommendations and offered advice to strengthen the strategies.
- **External Technical Team:** This group was made up of energy experts from around the country who both advised the process and performed some of the analytical work related to various pieces of the plan.

#### Major written products/outcomes:

- Compiled and distributed a list of approximately 112 strategies to teams to gather input and feedback on where the plan should direct its focus.
- Two versions of a draft working document were distributed for feedback and input.
- Completed initial modeling: 1) Rocky Mountain Institute - Energy Policy Simulator (EPS) modeling; and 2) Juice Box power sector modeling.

#### Notable outreach to various stakeholders on Clean Energy Plan

- WI Automated Connected Electric Shared (WI ACES) Mobility
- Great Plains Institute – Midwest State Energy Collaborative
- City of Madison
- State of Wisconsin Assistant Deputy Secretaries

- Minnesota Department of Commerce
- WI Department of Administration Green Team
- Hewlett Packard Enterprise
- Northeast WI Sustainable Leaders Group
- WI Sustainable Business Council
- Midwest Tribal Energy Research Association
- WI Health Professionals for Climate Action
- Utilities: Xcel Energy, Alliant Energy, Dairyland Power Cooperative, Wisconsin Energy Company (WEC), Madison, Gas & Electric, Wisconsin Public Power Inc.
- Green Tech: Johnson Controls, Invenergy, Zerology, Pieper Electric
- Labor Groups: American Federation of Labor and Congress of Industrial Organizations, Brotherhood of Electrical Workers 965, Laborers' International Union of North America, Milwaukee Area Labor Council, Director of Stationary Development, International Union of Operating Engineers, International Brotherhood of Electrical Workers 2150, WI Building Trades Council

*Activities that contributed substantially to these successes:* Extensive coordination by leadership, state agencies, Office of Sustainability and Clean Energy staff, Great Plains Institute, Slipstream, Inc., and the established teams were necessary to achieve the above accomplishments. OSCE staff, supported by this grant, provided support for the development of Wisconsin's Clean Energy Plan through the following:

- Stakeholder engagement: Plan and schedule meetings with various stakeholder groups, including but not limited to environmental advocacy groups, environmental justice groups, utilities, labor groups, and State agencies. Supported the meetings through administrative tasks, presentation and agenda creation, and follow-up communications.
- Research and analysis: Research various policies and programs related to clean energy technologies and their climate, economic, health, and social impacts. Integrated suggestions and recommendations from stakeholder groups (see above) into the Clean Energy Plan draft and research said recommendations for effectiveness and environmental justice impact. Prepared spreadsheets on funding opportunities and programs of interest and briefs on contentious or ambiguous topics for review by the Governor and Lieutenant Governor's offices.
- Editing: Performed copy, substantive, and developmental editing to the drafted Clean Energy Plan to maximize accuracy, consistency, and clarity, and ensure a logical flow of the document.

#### **Challenges:**

The team established an aggressive timeline to publish the report in early 2021. Due to the COVID pandemic and ensuring that the process would allow for substantial input the timeline was extended through Fall of 2021.

#### **Tangible Results:**

This project is still in process, so there are no tangible results currently.

#### **Significant Reports, Books, or Media Productions:**

This project is still in process, so there are not available currently.

### **STAFF ASSIGNED TO THIS GRANT**

Keith Reopelle, Program and Policy Analyst – Advanced, began work in August 2020.

Fiona Montie, Program and Policy Analyst – Advanced, began work in January 2021.

**FINANCIAL SUMMARY**

Item	Budget	Q3 Exp.	Q3 Enc.	Balance
LTE Salary	71,993.00	32,041.04	0.00	39,951.96
LTE Fringe	5,507.00	2,451.14	0.00	3,055.86
Travel	2,500.00	0.00	0.00	2,500.00
Total	80,000.00	34,492.18	0.00	45,507.82

**US CLIMATE ALLIANCE – STAFFING CAPACITY GRANT  
INTERIM REPORT  
JUNE 1, 2021 – MAY 30, 2022**

**Narrative Report**

**Notable Accomplishments:**

During the first few months of this grant, the Office of Sustainability and Clean Energy Director secured the approvals and finalized the paperwork to bring on a new staff person to support the lead-by-example initiative. This included working with the human resources team to determine the correct classification and create an accurate position description, see attached.

In November of 2021, Caity Carmody was hired by the Office of Sustainability and Clean Energy (OSCE) to fulfill the role of Lead-by-Example (LBE) Research Analyst. Caity joins the team with over 10 years of government service in natural resources, transportation, and infrastructure and a Bachelor of Science in Natural Resource Management from the University of Wisconsin (UW) – Stevens Point (UWSP). Caity is pursuing an additional bachelor's degree in Computer Information Systems – Application Development and Support from the University of Wisconsin – Stevens Point. She has an anticipated graduation date of December 2022. Her background in natural resource management, data analytics, and computer information systems makes her uniquely suited to aiding the OSCE in their implementation of technology to track and report on the State's path to net zero.

The focus of Caity's available time has been holding meetings with a variety of software vendors to best ascertain what options are currently available on the market for tracking of ESG data.

Caity has also been attending multiple monthly meetings with University of Wisconsin System Sustainability Directors and Coordinators to better understand their needs as it relates to the LBE efforts. Many UW schools are already undergoing the Sustainability Tracking, Assessment and Rating System (STARS) through the Association for the Advancement of Sustainability in Higher Education (AASHE). OSCE seeks to recognize and understand the work that agencies within Wisconsin are already doing to improve their operations as it relates to sustainability. Therefore, Caity attends a monthly meeting with the STARS working group to understand how they utilize data to help them with their reporting.

Caity was also added to the Sustainable Corrections Infrastructure Partnership (SCIP) Accelerator team which seeks to aid correctional institutions in their transition to renewable energy.

In late 2021 and early 2022, the OSCE buckled down to work on the development and release of the State's Clean Energy Plan. In April of 2022, the Clean Energy Plan was released. In it, the LBE program is further defined and clarified.

Lastly, In May 2022, the OSCE team began development of an internship position to support the LBE initiative with funding for the position coming from the grant budget. The position should be finalized and approved by August/September 2022.

### Major meetings:

- 12/20/2021 – LBE Position Planning Session
- 12/21/2021, 1/21/2022, 3/25/2022 – Intro and demos with Salesforce
- 1/10/2022, 2/10/2022, 3/10/2022, 4/14/2022, 5/13/2022, 5/23/2022 – UW System STARS Working Group
- 1/19/2022, 5/18/2022 – UW System Monthly Climate/Energy/Resiliency
- 3/4/2022 – Johnson Controls introduction
- 3/9/2022, 3/16/2022, 3/25/2022 – OSCE Strategic Planning Meeting
- 3/21/2022, 3/16/2022, 5/23/2022 – SCIP Wisconsin group meetings
- 4/15/2022 – J-MEC introduction
- 5/26/2022 – SAP introduction
- 5/26/2022 – EnergyCAP introduction
- 5/31/2022 – Cimetrics introduction
- 5/31/2022 – Utilimarc introduction

### Achieved Benchmarks:

- 11/1/2021 Official offer made to new staff for Lead-by-Example Research Analyst Position

### **Challenges:**

A few challenges delayed the timeline of the project. We were unable to have Caity fill the position until November of 2021, and then given her class schedule, she couldn't work more than a few hours weekly until summer of 2022. Additionally, funding has not been secured for the technology solution that will be needed to assist in regular data tracking. The project is still underway with efforts to identify funding to support and maintain a comprehensive internal tracking system.

### **Tangible Results:**

The project is still underway and much of the work to be done will be completed in the second half of the grant cycle. Caity is working to develop software summaries for the different products she has been introduced to and identifying sources of data. This will ultimately guide the Request for Proposal development process.

As identified under Notable Accomplishments, the State Lead-by-Example work was further defined in the Clean Energy Plan. Below is the section of the Clean Energy Plan that addresses the internal State efforts:

*Through state government Lead-by-Example work, state agency leadership aim to:*

- *Contribute to the fulfillment of carbon reduction goals of the new U.S. nationally determined contribution (NDC) as part of the Paris Agreement (50-52 percent economywide net GHG emissions reductions below 2005 levels by 2030).*
- *Develop energy efficiency, sustainability, and renewable energy standards for all new and existing state facilities, office buildings, and complexes.*

- *Accelerating new and existing policies to reduce carbon pollution and promote clean energy deployment at the state level; and*
- *Focus on priority areas and practices, such as environmental justice, energy consumption reduction, sustainable procurement, transitioning the fleet to clean fuels and zero-emission vehicles, reducing solid waste, and reducing water consumption. (GTFCC Rec #11)*

*Identify state agency data available, then organize and further analyze the data to help inform decision making and goal setting.*

1. *Develop an internal tracking system and comprehensive online dashboard to track state agency energy use, establish and track agency GHG reduction goals and provide transparency on agency progress. Have all agencies track both energy usage and GHG emissions and set reasonable goals for the reduction of energy usage and GHG emissions. Track energy and carbon performance and benchmark all existing state buildings and leaseholds. Benchmarking serves as a mechanism to measure the energy performance of a single building over time, relative to other similar buildings, or modeled simulations of a reference building built to a specific standard (such as an energy code). Benchmarking is useful for state and local government property owners and facility operators, managers, and designers. It facilitates energy accounting, comparing a facility's energy use to similar facilities to assess opportunities for improvement and quantifying/verifying energy savings.*
2. *Share its benchmarking methods with interested local communities, potentially through the Green Tier Legacy Communities program or another mechanism.*
3. *Set specific energy efficiency goals for its buildings, such as meeting ENERGY STAR® Performance levels by 2027.*
4. *Explore incentives to measure actual building energy use. Many state buildings are heated by central plants, this will require an investment in metering and the human resources to maintain and utilize the metering.*

*Increase federal collaboration and technical assistance.*

1. *Join the U.S. DOE's Better Buildings Accelerators to help document and share its data. Under this program, leading businesses, manufacturers, cities, states, universities, and school districts commit to improving the efficiency of their portfolio of buildings by at least 20 percent over 10 years and share their strategies and results.*
2. *Participate in the Sustainable Corrections Infrastructure Partnership (SCIP) Accelerator to assess and addresses the resiliency of the state correctional facility infrastructure.*

*Work to transition the State's vehicle fleet to clean fuels and zero-emission vehicles (ZEV).*

*The Wisconsin Department of Administration (DOA) encourages state employees operating state-owned or leased motor vehicles to use alternative fuels whenever feasible and cost-effective. DOA will work to replace state fleet vehicles and off-road equipment with those that can utilize lower-emitting fuels or low- to no- emissions. Consider not only the purchase price (reduced by any available incentives) but also projected operating cost savings (e.g., from using electricity instead of fossil fuels, reduced maintenance costs, and reduction of carbon and other emissions) applying a reasonable cost for carbon and other pollutants reflecting their impacts. Also, explore options to build electric vehicle infrastructure at state parks and state-owned land.*

*Reduce energy consumption and GHG emissions.*

1. *Implement a more efficient footprint across state agencies.*
2. *Incorporate renewable energy generation into all new construction and increasing the amount of renewable energy the state and UW System purchase to achieve 100 percent carbon-free energy before 2050. Alternatively, DOA could analyze and establish more aggressive percentage goals of clean energy by 2030 and 2050 for state operations, taking into consideration the interdependency of the goal with the Building Commission and Budget processes.*
3. *Consider including carbon footprint reductions and not just energy use reduction, in state performance contracts.*
4. *Explore Virtual Power Purchase Agreements for state agencies or off-site group buys.*
5. *State agencies to reinvest energy efficiency cost savings to fund additional energy efficiency and clean energy investments.*
6. *Identify state-owned land assets that could host a large renewable energy project.*
7. *Support the development of energy management plans at UW System institutions.*

*Prioritize environmental justice.*

*Work with agency leaders across the enterprise to measure and verify environmental justice-related actions in programs and policies. Consider a life cycle analysis policy for purchasing and developments that considers impacts on environmental justice communities. (GTFCC Rec #3)*

*Reduce water consumption.*

*Collect baseline data and set targets to reduce water consumption in State facilities. Additionally, work with local governments on water conservation through water and wastewater loan programs.*

*Expand green/clean procurement and reduce embodied carbon for goods and services.*

*The DOA State Bureau of Procurement will develop a plan to expand the use of green/clean procurement practices and reduce embodied carbon and submit the plan to the governor. (2021-2023 Executive Budget) Additionally, conduct outreach to local governments to increased shared purchasing to realize economies of scale.*

*Support clean energy and energy efficiency projects via State Agency Performance Contracting and other financing options.*

1. *Utilize \$25 million of State bonding for 2021-23 energy conservation projects. These funds would be used for energy conservation projects to help state agencies and UW System institutions meet their energy reduction goals and reduce utility costs. Renewable projects including solar, wind, standby generators, or geothermal enhancements to state facilities will be prioritized. The achieved savings from the reduction in utility costs are used to pay the debt service payments on the bonds. (2021-2023 Executive Budget)*
2. *After the state has identified the highest priority energy efficiency upgrades and renewable generation projects within state facilities to be completed with the \$25 million in bonding, DOA Division of Facilities Development (DFD) in collaboration with the UW System institutions, other state agencies, OSCE, and other experts, will review all state facilities for clean energy project opportunities to be completed with private sector funding (i.e., performance contracting). In addition to deep efficiency retrofits and renewable generation,*

*the review will emphasize opportunities for geothermal heating and cooling and energy storage for renewable generation firming and peak load reduction.*

3. *OSCE to work with local units of government to identify private sector funding opportunities within local government facilities. The DFD will apply 20-year financing terms to these clean energy projects (provided components with a useful life of fewer than 20 years are replaced during the term). (2021-2023 Executive Budget)*
4. *OSCE to work with state agencies to set and take steps each year to meet energy/carbon reduction goals consistent with the most stringent Wisconsin public utility plan. The State’s plan would include vehicles and equipment, energy and water usage, procurement, solid waste, and GHG. The State would share goals and plans with local communities through Green Tier Legacy Communities or other programs and would use funding from Focus on Energy® and utility incentives to the available extent, as well as on-bill financing and/or other available low-cost financing methods to reduce costs and pay for projects out of energy savings.*

**Significant Reports, Books, or Media Productions:**

Wisconsin State Clean Energy Plan - [Link](#)

**Financial Report**

All grant funds were expended for the purposes of the grant. The unexpended amount will be used toward staff capacity to support the activities in the grant.

Project Budget Amount	Completion date	Expenses		Remaining Balance
		Expense Category	FY2022	
88,132.00	9/30/2023	Classified Limited Term Employee	6,504.03	
		Fringe Benefit Allocation/Tran	497.56	
		<b>7,001.59</b>	<b>81,130.41</b>	



## **ATTACHMENT**

### **Position Description**

**Department of Administration, Office of Sustainability and Clean Energy**

**Classification: Research Analyst (08-03)**

**Working Title: Lead by Example Analyst**

### **Position Summary**

This limited term position, under the general direction of the Director, Office of Sustainability and Clean Energy, will be responsible for applying research, statistical analysis, and data processing/systems analysis techniques to the assigned projects in the Office of Sustainability and Clean Energy. This position acts as a resource for the design, analysis, implementation, and monitoring of data related to energy, water, fleet, environmental justice, procurement, waste, and greenhouse gas emissions across all Departments in Wisconsin state government as part of the State Lead-by-Example project. This position will organize databases or utilize software tools to track data and will enable state agencies to develop new clean energy and sustainability policies, models, or programs. This position will provide the necessary focus and support in implementing a framework and technical tool to plan, track and provide transparency on progress for state agencies to contribute to emissions reductions over the long-term.

### **Goals and Worker Activities**

**70% A. Develop, maintain database(s) to improve tracking and sharing of data for Lead-by-Example to be used by a diverse audience across the state for program/policy impact analysis and program/policy development.**

- A1. Engage and coordinate input with the State Agency Technical Team that advises on the analysis and presentation of data.
- A2. Responsible for the improvement of data collection, management, and analysis capabilities through evaluation, training, and technical assistance.
- A3. Explore and recommend tools to collect data from agencies - make efficient use of resources and toolkits already available.
- A4. Identify deficiencies in data linkages and data quality; facilitate discussions with key stakeholders (data collector, manager, users) to participate in improvements in performance and dissemination.
- A5. Recommend options, plans, alternative and recommend courses of action based on research and analysis.
- A6. Review and respond to data requests. Advise and include management team, as needed.

- A7. Manage a robust engagement process with state agencies and staff and key stakeholders on LBE project.

**20% B. Support Climate and Clean Energy Implementation Work**

- B1. Consult with Governor, Lt. Governor, agency, and OSCE staff on data needs for publications and reports related to climate change policy
- B2. Stakeholder information gathering, organization and analysis related to key strategies developed as part of the clean energy planning process
- B3. Create data tables and representation graphs using Access and/or Excel and Word
- B4. Coordinate data resources and support for implementation of policies (i.e., budget whitepapers).
- B5. Assist with updating content for OSCE and GFTCC web pages on the internet.

**10% C. Other activities as directed.**

**Knowledge, Skills, and Abilities**

1. General knowledge of information systems programming, statistical research design and exploratory data analysis
2. Working knowledge of sources of a wide variety of demographic, business, economic and resource data
3. Well versed in Microsoft Suite – including Access and Excel, and perhaps other programming languages and tactical packages (i.e., STATA). Proficient using macros, pivot tables and proficient in SQL.
4. Knowledge and skill in development of training materials and training program delivery/presentation
5. Demonstrated skills in working with basic tenants of project management.
6. Ability to develop metrics and/or measures of success.
7. Ability to facilitate diverse teams involved in complex projects.
8. Strong relationship management and leadership skills.
9. Cultural competency that enables effective working relationships in cross-cultural situations and building collaborative partnerships.
10. Ability to provide excellent customer service.
11. Demonstrated research and analytical skills.
12. Demonstrated ability to work independently, as well as in a team environment.
13. Strong written and verbal communication skills, report writing, research and study techniques, group decision making techniques, and some public presentation skills.
14. Ability to exercise a strong sense of priority and time management.

Return of Organization Exempt From Income Tax

Department of the Treasury Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

A For the 2020 calendar year, or tax year beginning, 2020, and ending, 20

Form header section containing organization name (UNITED NATIONS FOUNDATION, INC.), address (1750 PENNSYLVANIA AVENUE NW STE 300 WASHINGTON, DC 20006), principal officer (ELIZABETH COUSENS), and various identification numbers.

Part I Summary

Summary table with columns for line number, description, Prior Year, Current Year, and Net Assets or Fund Balances. Includes rows for mission statement, revenue, expenses, and net assets.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Signature block section with signature of LIA FORDJOUR, CFO, dated 11/15/21.

Paid Preparer Use Only section with preparer name TRAVIS L PATTON, firm name PRICEWATERHOUSECOOPERS, LLP, and firm address.

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

For Paperwork Reduction Act Notice, see the separate instructions.

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

OMB No. 1545-0047

**2020**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

▶ **Attach to Form 990.**

▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.**

Name of the organization

UNITED NATIONS FOUNDATION, INC.

Employer identification number

58-2368165

**Part I General Information on Grants and Assistance**

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  **Yes**  **No**
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
<b>(1)</b> TRUSTEES OF TUFTS COLLEGE 419 BOSTON AVE MEDFORD, MA 02155	04-2103634	501(C)(3)	106,000.		N/A	N/A	WMN & POP
<b>(2)</b> UN WOMEN 220 EAST 42ND ST. NEW YORK, NY 10017			22,000.		N/A	N/A	UN STRNGTHNG
<b>(3)</b> UNFPA'S ACTION FOR ADOLESCENT GIRLS 605 THIRD AVENUE NEW YORK, NY 10158			175,000.		N/A	N/A	WMN & POP
<b>(4)</b> UNICEF 3 UNITED NATIONS PLAZA NEW YORK, NY 10017			2,043,289.		N/A	N/A	CHLDRN HLTH
<b>(5)</b> UNITED NATIONS CHILDREN'S FUND 3 UNITED NATIONS PLAZA NEW YORK, NY 10017			9,995,214.		N/A	N/A	CHLDRN HLTH
<b>(6)</b> UNITED NATIONS FUND FOR INTERNATIONAL PARTN 220 EAST 42ND STREET, 19TH FLOOR			1,912,919.		N/A	N/A	UN STRNGTHNG
<b>(7)</b> UNITED NATIONS OFFICE OF DISARMAMENT AFFAIR UN PLAZA, ROOM S-3185 NEW YORK, NY 10017			46,500.		N/A	N/A	UN STRNGTHNG
<b>(8)</b> UNITED STATES JUNIOR CHAMBER 15645 OLIVE BLVD CHESTERFIELD, MO 63017	73-0555354	501(C)(4)	37,500.		N/A	N/A	CHLDRN HLTH
<b>(9)</b> VERMONT DEPARTMENT OF ENVIRONMENTAL CONSERV 1 NATIONAL LIFE DR MONTPELIER, VT 05602		GOVERNMENT	103,198.		N/A	N/A	ENVIRONMENT
<b>(10)</b> WISCONSIN DEPARTMENT OF ADMINISTRATION 101 E WILSON ST MADISON, WI 53703		GOVERNMENT	80,000.		N/A	N/A	ENVIRONMENT
<b>(11)</b> WOMEN MOVING MILLIONS 19 FULTON ST # 301 NEW YORK, NY 10038	45-2576859	501(C)(3)	119,585.		N/A	N/A	WMN & POP
<b>(12)</b> WOMEN'S FOREIGN POLICY GROUP 1801 F ST., NW, 3RD FLOOR	52-1818839	501(C)(3)	117,400.		N/A	N/A	WMN & POP

**2** Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

**3** Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) 2020

Return of Organization Exempt From Income Tax

Department of the Treasury Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990 for instructions and the latest information.

A For the 2021 calendar year, or tax year beginning and ending

B Check if applicable: C Name of organization: UNITED NATIONS FOUNDATION, INC. D Employer identification number: 58-2368165 E Telephone number: (202) 887-9040 G Gross receipts \$: 102,239,982. H(a) Is this a group return for subordinates? H(b) Are all subordinates included? I Tax-exempt status: X 501(c)(3) J Website: WWW.UNFOUNDATION.ORG K Form of organization: X Corporation L Year of formation: 1998 M State of legal domicile: NY

Part I Summary

Table with columns for Activities & Governance, Revenue, Expenses, and Net Assets or Fund Balances. Rows include mission statement, member counts, revenue breakdown, expenses, and asset/liability totals.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer ELIZABETH COUSENS, PRESIDENT & CEO. Date: 9/2/2022. Preparer: TRAVIS L PATTON, Date: 9/2/2022. Firm: PRICEWATERHOUSECOOPERS, LLP.

May the IRS discuss this return with the preparer shown above? See instructions. X Yes

For Paperwork Reduction Act Notice, see the separate instructions. Form 990 (2021)

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

OMB No. 1545-0047

**2021**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

Name of the organization

UNITED NATIONS FOUNDATION, INC.

Employer identification number

58-2368165

**Part I General Information on Grants and Assistance**

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
<b>(1)</b> UNITED NATIONS DEVELOPMENT PROGRAMME 304 EAST 45TH STREET, 11TH FLOOR			37,482.		N/A	N/A	UN STRNGTH
<b>(2)</b> UNITED NATIONS DEVELOPMENT PROGRAMME 220 E 42ND ST. 20TH FLOOR			1,039,993.		N/A	N/A	CHLDRN HLT
<b>(3)</b> UNITED NATIONS FUND FOR INTERNATIONAL PARTN 220 EAST 42ND STREET., 19TH FLOOR			168,511.		N/A	N/A	WMN & POP
<b>(4)</b> UNITED NATIONS FUND FOR INTERNATIONAL PARTN 220 EAST 42ND STREET, 19TH FLOOR			3,410,512.		N/A	N/A	UN STRNGTHNG
<b>(5)</b> UNITED NATIONS HIGH COMMISSION ON REFUGEES 1800 MASSACHUSETTS AVE NW, SUITE 500			500,000.		N/A	N/A	CHLDRN HLTH
<b>(6)</b> UNITED NATIONS OFFICE FOR PARTNERSHIP 1 UNITED NATIONS PLAZA, ROOM DC1-1328			525,419.		N/A	N/A	CHLDRN HLT
<b>(7)</b> UNITED NATIONS OFFICE FOR PARTNERSHIP 1 UNITED NATIONS PLAZA, ROOM DC1-1328			270,850.		N/A	N/A	WMN & POP
<b>(8)</b> UNITED STATES JUNIOR CHAMBER 15645 OLIVE BLVD CHESTERFIELD, MO 63017	73-0555354		12,500.		N/A	N/A	CHLDRN HLTH
<b>(9)</b> VERMONT DEPARTMENT OF ENVIRONMENTAL CONSERV 1 NATIONAL LIFE DRIVE MONTPELIER, VT 05602		GOVERNMENT	103,197.		N/A	N/A	ENVIRONMENT
<b>(10)</b> VILLAGEREACH 2900 EASTLAKE AVE E SUITE 230	92-2083484	501(C)(3)	101,171.		N/A	N/A	UN STRNGTHNG
<b>(11)</b> WISCONSIN DEPARTMENT OF ADMINISTRATION 101 E WILSON ST SANTA FE, NM 87505		GOVERNMENT	88,132.		N/A	N/A	UN STRNGTHNG
<b>(12)</b> WISCONSIN DEPARTMENT OF ADMINISTRATION 101 E WILSON ST MADISON, WI 53703		GOVERNMENT	80,000.		N/A	N/A	ENVIRONMENT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) 2021